**Instructions**

After reviewing this document in its entirety, print out this document, initial each page and sign the provider qualification attestation. Send this signed form with the required documentation to the [appropriate AAA](https://www.dshs.wa.gov/sites/default/files/ALTSA/hcs/documents/AAA/AAA%20Medicaid%20Intake%20Contacts.docx) based on the counties in which you wish to provide services.

**General Description**

Community Transition and Sustainability Services contracted providers (CTSS) provide community transitions services to facilitate the services/goods necessary to enable a person moving out of an institutional facility or residing in a community setting to establish or resume basic living arrangements for sustainable community living. Services for eligible individuals include, but are not limited to providing, purchasing and/or arranging for the following (as authorized in the Client’s Plan of Care):

1. Security deposits that are required to obtain a lease on an apartment or home.
2. Essential household furnishings and moving expense required to occupy and use a community residence, including furniture, window coverings, essential food preparation items, and bed/bath linens.
3. Set-up fees or deposits for utility or service access, including telephone, electricity, heating and water.
4. Services necessary for the individual’s health and safety such as pest eradication and one-time cleaning/allergen control prior to occupancy.
5. Moving services and/or expenses.
6. Activities to assess need, arrange for and procure needed resources.
7. Contracted Providers may be reimbursed for issuing payment for security deposits, rent and/or utility set up fees.

**Long-Term Services and Supports: Laws, Rules, and Policies**

Below is a list of some of the laws, rules, and policies that may be helpful to review prior to completing an application. This may not be a comprehensive list of all laws, rules, and policies that apply.

* [Chapter 74.39A RCW: Long-Term Care Services Options](http://app.leg.wa.gov/RCW/default.aspx?cite=74.39A)
* [Chapter 43.43.830 RCW through 43.43.845 RCW: Washington State Patrol Background Checks](http://apps.leg.wa.gov/RCW/default.aspx?cite=43.43)
* [Chapter 388-106 WAC: Long-Term Care Services](http://app.leg.wa.gov/WAC/default.aspx?cite=388-106)
* [Chapter 388-71 WAC: Home and Community Services and Programs](http://app.leg.wa.gov/WAC/default.aspx?cite=388-71)
* [Aging and Disability Services Long-Term Care Manual Chapter 7: CORE LTC Programs](http://intra.altsa.dshs.wa.gov/docufind/LTCManual/documents/Chapter%207%20Intro.docx)

**Provider Contract**

The DSHS contract provided is for informational purposes only. This information is available to review to ensure all contract terms can be met prior to application.



**Minimum Qualifications**

In order to receive a contract to serve DSHS clients, the AAA must consider an applicant’s ability to perform successfully under the terms and conditions of the contract. This includes contractor integrity, compliance with public policy, record of past performance, and financial and technical resources. Providers must meet the following minimum qualifications:

1. At least one year of demonstrated experience and ability to provide services per the specifications in the contract unless more experience is required in the specific provider qualifications listed below.
2. Current Washington State Business License or an explanation of why you are exempt from registering your business with the state of Washington.
3. Demonstrated capacity to ensure adequate administrative and accounting procedures and controls necessary to safeguard all funds and meet program expenses in advance of reimbursement, determined through evaluation of the agency’s most recent audit report or financial review. A waiver of this requirement may be available for businesses that have been in operation for less than one year or for self-employed contractors who will only provide a direct service with no employees and no fiduciary responsibility. Financial stability must be demonstrated by submitting evidence of a business account separate from a personal account.
4. Owners, managing employees, and anyone with a controlling interest (board of directors) of the provider have not been convicted of a criminal offense related to that person’s involvement in any program under Medicare, Medicaid, or Title XVII, XIX, or XX, nor have they been placed on a Federal exclusion list or otherwise suspended or debarred from participation in these programs.
5. Insurance requirements listed in the DSHS contract. Local areas may require higher minimum coverage. Subcontractors, or any agency/business that is paid to carry out any of the duties of the contract, must maintain insurance with the same types and limits of coverage as required under the contract.
6. The business owner/contract signatory must [pass a DSHS criminal history background check](http://apps.leg.wa.gov/WAC/default.aspx?cite=388-113-0020).
7. All employees, volunteers, and subcontractors who may have unsupervised contact with vulnerable adults must have passed a criminal history background check, which must be conducted by the Contractor every two years and kept in personnel or subcontractor files. The criminal history background check must at least include Washington State Patrol criminal conviction records.
8. No history of significant deficiencies as evidenced by monitoring, licensing reports or surveys, including Area Agency on Aging monitoring reports, if applicable.
9. Have sufficient staff qualified to provide services per the DSHS contract terms as evidenced by a current organizational chart or staffing plan indicating position titles and credentials, as applicable. This also includes any outside agency, person, or organization that will do any part of the work defined in the DSHS contract.
10. Current staff, including those with unsupervised access to clients and those with a controlling interest in the organization, have no findings of abuse, neglect, exploitation, abandonment nor has the agency had any government issued license revoked or denied related to the care of medically frail and/or functionally disabled persons suspended or revoked in any state.
11. Have no multiple cases of lost litigation related to service provision to medically frail and/or functionally disabled persons.
12. Provide services throughout the defined service area. The service area is defined by the contracting Area Agency on Aging.

**Specific Provider Qualifications**

Please provide evidence of all requirements listed below:

1. If the services to be provided require licensure or certification, the Contractor shall have the applicable license or certification, which shall be current and in good standing. Provider requirements must be clearly defined in the individualized client’s Plan of Care.
2. For services that do not require professional licensing, qualifications will be defined in the Client’s Plan of Care. The Plan of Care may identify additional qualifications that the Contractor must meet to provide the service.
3. If the services include purchasing or deposits, the Contractor shall use a financial business account to make purchases on behalf of clients which will be reimbursed. Personal financial accounts may not be used.
4. Movers, per [WAC 480-15](http://apps.leg.wa.gov/wac/default.aspx?cite=480-15):
   1. Verification of active household goods (HHG) moving permit with Utilities and Transportation Commission (UTC)
   2. USDOT number
5. Pest eradicators, per [WAC 16-228-1545](http://app.leg.wa.gov/WAC/default.aspx?cite=16-228-1545):
   1. Documentation of license as a pest management professional (PMP) as a commercial pesticide applicator by the Washington State Department of Agriculture
6. Janitorial Services:
   1. If a member of professional certification such as Association of Residential Cleaning Services International (ARCSI), submit documentation of membership.
7. Retail Business:
   1. (Nothing additional to the above is required.)
8. Landlords
   1. (Nothing additional to the above is required. A landlord is not required to have a business license.)
9. Property Management Company
   1. (Nothing additional to the above is required.)
10. Utility Company, per WAC [480-80](http://app.leg.wa.gov/WAC/default.aspx?cite=480-80)
    1. (Nothing additional to the above is required.)

**Required Documentation to send to the AAA**

1. Completed [Contractor Intake Form and Required Attachments](https://www.dshs.wa.gov/sites/default/files/FSA/forms/word/27-043.docx)
2. Mission statement, articles of incorporate, and bylaws, as applicable
3. Current rates
4. Total program operating budget, including all anticipated revenue sources and any fees generated
5. Record of past performance, including copies of all site visits or program review reports received from any monitoring entities (i.e., federal, local or state government) that occurred within the last 24 months. If the monitoring report has not yet been provided to your organization, indicate the date of the site visit or program review and the name of the monitoring agency which completed the review.
6. Most Recent Audit Report or Financial Review. A waiver of this requirement may be available, see additional information below.\*
7. [Medicaid Provider Disclosure Statement](https://www.dshs.wa.gov/sites/default/files/FSA/forms/word/27-094.docx)
8. Completed [Medicaid Provider Background Check Form with Instructions](https://www.dshs.wa.gov/sites/default/files/ALTSA/hcs/documents/Medicaid%20Provider%20Background%20Check%20Form%20with%20Instructions.docx) for the owner/contract signatory
9. Policies and Procedures meeting the requirements of mandatory reporting procedures as describe in Chapter 74.34 RCW, relating to the protection of vulnerable adults
10. Organizational chart or staffing plan, including applicable credentials and a list of any subcontractors
11. Evidence that specific provider qualifications are met, including copies of Washington specialty licenses, certifications or credentials as appropriate to the documentation listed in specific provider qualifications.
12. If the services include purchasing or deposits, evidence of a financial business account.
13. Current insurance certificate

\*Additional Information:

* Audit Report - An audit is the examination of a potential contractor’s accounting records, as well as the physical inspection of its assets. The auditor (typically a CPA) provides an opinion on the fairness of the potential provider’s financial statements.
* Financial Review - A review is a reduced form of an audit that provides a reduced level of assurance regarding a potential contractor’s financial statements. Based on an investigation, the auditor can provide limited assurance that the financial statements do not need any material modifications.
* If a waiver of this requirement is requested and approved, other documentation that validates financial stability will be required. This may include income or financial statements or reports that outline revenue, earnings, and expenses.

Business Name and Address:

Application Contact Name/Phone/Email:

By signing this form, I attest that I have reviewed the requirements and understand the requirements for the Medicaid program for which my organization is applying and that the organization meets all of the qualifications and requirements listed in the application packet. I further attest that the organization has submitted all documents requested.

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Signature Title Date