

Transforming
Lives

CRITICAL STAFFING MANAGEMENT IN LONG-TERM CARE SETTINGS

Residential Care Services







October 2021



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Housekeeping

 <p>Audience will be in listen only mode</p>	<p>This Training will be recorded. Please do not share confidential information</p> 
<p>Self-mute your lines when not speaking</p> 	<p>A Q&A document will be produced with answer to questions from the call and answers to those that we may not get to</p> 
 <p>Slides will be available for distribution after the presentation</p>	<p>Type your questions into the meeting chat or send questions after the training to policy unit RCSPolicy@dshs.wa.gov</p> 

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Critical Staffing Management in Long-Term Care

Today's presenters:

Mike Anbesse, Residential
Care Services Director

Katherine Ander, Interim
Infection Preventionist /
Project Support

Bett Schlemmer, Special
Assistant to the Director



Webinar facilitated by RCS Training Unit: **Rebecca Kane** and **Christine Kubiak**

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Participants Will:

Objective

1. Describe the Challenges and Primary Goal of Critical Staffing Management
2. Identify Options for Prioritizing Care Along a Continuum When Staffing is at Crisis Levels
3. Summarize the LTC Procedure to Notify RCS and Request Support When Staffing is at Crisis Levels
4. Outline RCS Response to Notification of Crisis Staffing
5. Understand Emergency Plan Regulatory Requirements in Long-Term Care Settings
6. Name References for Critical Staffing Management Procedures

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Critical Staffing Management in Long-Term Care

The long-term care delivery system is currently facing two particular challenges

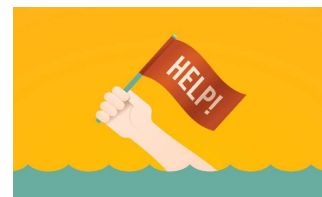
- Staffing shortages in the health care workforce
- Supply chain issues impact

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Continuum of Emergency Care – Including Staffing Management



Conventional: Normal level of healthcare resources

Contingency: Demand for healthcare resources begins to exceed supply but adaptations are possible to still deliver functionally equivalent care

Crisis: Resources are exceeded by demand or depleted; functionally equivalent care is no longer possible to address all requirements and there is a risk to resident/client or provider

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Primary Goal of Implementing Critical Staffing Management

Avoid the need for urgent or emergent transfer of resident/clients from their homes due to the staffing crisis by prioritizing care if needed

Focus on

- Providing essential supportive services including eating/drinking, personal hygiene, and safety
- Administering only essential medications and treatments
- Comfort care, including fluids and pain management

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Critical Staffing Management RCS Guide

- Tool to help LTC settings consider options when faced with critical staffing crises
- It is not mandated or required by RCS
- Identifies regulations, care and services that could be delayed, decreased, stopped, or modified during contingency and crisis staffing conditions



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Critical Staffing Management RCS Guide

Regulations/Care services that could be delayed/decreased/stopped or modified	Contingency Demand for healthcare resources begins to exceed supply but adaptations are possible to still deliver functionally equivalent care	Crisis Resources are exceeded by demand or depleted; functionally equivalent care is no longer possible to address all requirements and there is a risk to patient/resident or provider
Showers	<input type="checkbox"/> Decrease (resident/client preference)	<input type="checkbox"/> Primarily bed baths/wash ups-showers as able
Nailcare	<input type="checkbox"/> Reduce	<input type="checkbox"/> Stop with exception of Diabetic nail care
Restorative programs (including toileting programs)	<input type="checkbox"/> Reduce	<input type="checkbox"/> Stop with exception of independent programs
Outings-facility arranged/organized	<input type="checkbox"/> Medically Necessary only	<input type="checkbox"/> Request family to assist with medically necessary appointments
Activities	<input type="checkbox"/> Reduce frequency	<input type="checkbox"/> Stop-focus on res care needs
Visitations	<input type="checkbox"/> Reduce times allowed	<input type="checkbox"/> Reduce times allowed
Resident council meetings	<input type="checkbox"/> Reduce frequency	<input type="checkbox"/> Stop-address grievances individually
Dining (including mealtimes)	<input type="checkbox"/> Modify mealtimes, allow variation, limit choices	<input type="checkbox"/> Focus on 3 meals/day & snacks; alternates may not be available for lunch/dinner meals

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How may we help you?

AL TSA

Long-Term Care Professionals & Providers

- Residential Care Services
 - Adult Family Home Providers
 - Assisted Living Facility Professionals
 - Nursing Home Professionals
 - Certified Community Residential Setting Providers
 - ICFs/IID Providers
 - Enhanced Services Facilities
 - BAAU Application Processing Timeline
 - Behavioral Health Support for Providers
 - Informal Dispute Resolution (IDR)
 - RCS Management Bulletins
 - Home & Community Services
 - Office of Rates Management
 - Training Information
 - Nurse Delegation Program
 - Nursing Services

Residential Care Services

RCS is responsible for the licensing and oversight of adult family homes, assisted living facilities, nursing facilities, intermediate care facilities for individuals with intellectual disabilities, and certified community residential services and supports. Our mission is to promote and protect the rights, security and well being of individuals living in these licensed or certified residential settings.

Facility Information

- Adult Family Home Providers
- Assisted Living Providers
- Nursing Home Professionals
- Certified Community Residential Services and Supports
- Enhanced Services Facilities
- Intermediate Care Facilities for Individuals with Intellectual Disabilities
- Nursing Assistant Program

Resources

- Critical Staffing Management in LTC Settings Guide
- Behavioral Health Support for Providers
- Informal Dispute Resolution
- Tables and Charts
- Emergency Planning Information
- Nurse Aide Registry

Submit COVID-19 Data

Make an Online Incident Report

Management Bulletins

Provider Letters

Contact Us

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LTC Setting Procedure

Activate Emergency Plans When:

- Resources are exceeded by demand or depleted AND
- Functionally equivalent care is no longer possible to address all requirements AND
- There is a risk to /resident/client or provider

Encouraged to Contact the RCS Field Manager to Discuss

- Options for adjusting operations
- Standards of care to preserve and effectively allocate limited resources

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Seek Help and Notify the Department

WHEN	HOW
<ul style="list-style-type: none"> • Contingency care levels are not sufficient to meet resident/client/care needs • Any crisis standards to manage critical staffing shortages are initiated 	<ul style="list-style-type: none"> • Complete a Revised Rapid Response Request form (DSHS 02-716) • Email request to rapidresponse@dshs.wa.gov

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RCS Response

An email received from a provider will

- Activate multi-agency response to focus on resources needed to keep residents/clients safely in their homes
- RCS will follow 4 steps:
 - 1) Contact Setting within 24 hours
 - 2) Collaborate on Resources
 - 3) Continue to communicate with LTC Setting until situation has stabilized
 - 4) If all resources and efforts are exhausted – coordinate to ensure orderly transfer of residents/clients to a safe location

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Questions to Ask From a Regulatory Perspective

- What is my current situation?
- Do have a written emergency (ER) plan if required?
- Does my ER plan include critical staffing management?
- Have I communicated the ER plan to residents/clients, staff, families?
- Do I actively seek assistance from available resources to manage staffing?
- Am I meeting basic resident/client care needs during critical staffing implementation?
- Have I prevented any resident/client from experiencing harm?

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Emergency Plan Regulatory Requirements

Emergency Preparedness Requirements for the Centers for Medicare and Medicaid Services (CMS) refers to SNF & ICF/IID

Includes 4 core elements:

- Risk assessment and emergency planning
- Policies and procedures
- Communication plan
- Training and testing



Residential and Community Settings (AFH, ALF, ESF)

WACs require a written emergency plan including actions to meet resident needs during an emergency

Certified Community Residential Supports & Services (CCRSS)

Does not have specific emergency plan requirement but outlines care and services to be provided, regardless of any emergency

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Frequently Asked Questions

What Must LTC Settings Document When Moving to Crisis Level Care Standards?

- Any time a specific resident care plan is not delivered, then document in the resident record
- If the LTC setting implements an emergency / disaster plan to relocate residents/clients, contact CRU (this documents the response)
- Use the Rapid Response form to trigger multi-agency response to critical staffing need
- Follow LTC setting policy / procedure / emergency plan related to documentation

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Q & A

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Critical Staffing Management Requires All to Work Together on Behalf of Residents/Clients

GOAL: Avoid the need for urgent or emergent transfer of resident/clients from their homes due to the staffing crisis by prioritizing care if needed

FOCUS: Providing essential supportive services including eating/drinking, personal hygiene, essential medications, treatments, and safety

EXPECTATION: LTC settings will return to conventional staffing standards once the critical staffing shortage has passed

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References

- CDC - [Preparedness Checklist for Nursing Homes and Other LTC Settings](#)
- CDC - [Strategies to Mitigate Healthcare Personnel Staffing Shortages](#)
- CDC - [Interim Guidance for Managing Healthcare Personnel with SARS-CoV-2 Infection or Exposure to SARS-CoV-2](#)
- CMS – Nursing Homes [Long Term Care Requirements CMS Emergency Preparedness Final Rule Updates Effective March 26, 2021](#)
- DOH - [Interim Recommendations to Mitigate Health Care Worker Staffing Shortage During the COVID-19 Pandemic](#)
- DOH - [Interim Guidance for Managing Healthcare Personnel with SARS-CoV-2 Infection or Exposure to SARS-CoV-2](#)
- Control, C. f. (2021, October). Coronavirus Disease 2019 (COVID-19) Preparedness Checklist for Nursing Homes and other LTC Settings. Retrieved from CDC COVID-19: https://www.cdc.gov/coronavirus/2019-ncov/downloads/novel-coronavirus-2019-Nursing-Homes-Preparedness-Checklist_3_13.pdf

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References

- Federal Emergency Management Agency (FEMA) 2020 COVID-19: Considerations, Strategies, and Resources for Crisis Standards of Care in PALTC LTC settings
<https://files.asprtracie.hhs.gov/documents/covid-19-considerations-strategies-and-resources-for-crisis-standards-of-care-in-paltec-LTC-settings.pdf>
- Institute of Medicine 2013. Crisis Standards of Care: A Toolkit for Indicators and Triggers. Washington, DC: The National Academies Press. <https://doi.org/10.17226/18338>
- National Academies of Sciences, E. a. (2020, March 28). Rapid Expert Consultation on Crisis Standards of Care for. Retrieved from National Academies of Sciences, Engineering, and Medicine : <https://files.asprtracie.hhs.gov/documents/nap-rapid-expert-consultation-on-csc-for-covid-19-pandemic.pdf>
- National Center for Biotechnology Information, U. N. (2012). Crisis Standards of Care: A Systems Framework for Catastrophic Disaster Response. Retrieved from Chapter 8 - Out-of-Hospital and Alternate Care Systems: <https://www.ncbi.nlm.nih.gov/books/NBK201069/>

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