ALTSA Residential Care Services, Standard Operating Procedures Manual



Overview

Residential Care Services (RCS) promotes and protects the rights, security and well-being of individuals living in licensed or certified residential settings. The Behavioral Health Support Team (BHST) works to carry out the Mental Health Transformation Initiative in the state of Washington. The goal of the BHST is to assist in the long-term success of individuals with challenging and complex behavioral health needs residing in these settings. To accomplish this, the BHST offers clinical and regulatory expertise to providers who work with this population to help them provide high-quality, person-centered care while remaining in compliance.

The BHST is comprised of a BHST Unit Manager, Behavioral Health (BH) Outcome Improvement Specialists, a Behavioral Health Training Specialist, and Behavioral Health Quality Improvement Consultants (BQIC).

Center for Medicare and Medicaid Services (CMS) uses the term "clients" and "individuals" interchangeably in the State Operations Manual (SOM). In this Standard Operating Procedure (SOP) the term "individuals" is used.

Facility, home, and provider(s) refers to Adult Family Homes (AFH), Assisted Living Facilities (ALF), Certified Community Residential Services and Supports (CCRSS), Enhanced Services Facilities (ESF), Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID), and Nursing Homes (NH). In this SOP the term "provider" is used.

This SOP outlines the process BHST members are required to follow when assisting long-term care (LTC) and community-based settings to meet the needs of individuals with challenging and complex behavioral health needs while simultaneously remaining in compliance with all applicable regulations. Relevant Code of Federal Regulations (CFR), Revised Codes of Washington (RCW) and Washington Administrative Codes (WACs) include:

- 42 C.F.R. § 483.1 through 483.206: Nursing Homes
- Chapter 18.20 RCW Assisted Living Facilities (ALF)
- Chapter 18.51 RCW Nursing Homes (NH)
- Chapter 70.97 RCW Enhanced Services Facilities (ESF)
- Chapter 70.128 RCW Adult Family Homes (AFH)
- Chapter 70.129 RCW Long-Term Care Resident Rights
- Chapter 71.05 RCW Mental Illness
- Chapter 71A.12 RCW Developmental Disabilities State Services
- Chapter 74.34 RCW Abuse of Vulnerable Adults
- Chapter 74.42 RCW Nursing Homes Resident Care, Operation Standards
- Chapter 182-538C WAC Crisis and Non-crisis Behavioral Health Services
- Chapter 246-341 WAC Behavioral Health Services Administrative Requirements
- Chapter 388-76 WAC Adult Family Home (AFH)
- Chapter 388-78A WAC Assisted Living Facilities (ALF)
- Chapter 388-97 WAC Nursing Homes (NH)

ALTSA Residential Care Services, Standard Operating Procedures Manual



- Chapter 388-101 WAC Certified Community Residential Services and Supports (CCRSS)
- Chapter 388-101D Requirements For Providers of Residential Services and Supports
- Chapter 388-107 WAC Enhanced Services Facilities (ESF)
- Chapter 388-110 WAC Contracted Residential Care Services
- Chapter 388-112A WAC Residential Long-Term Care Services Training
- Chapter 388-113 WAC Disqualifying Crimes and Negative Actions

These procedures are in addition to <u>DSHS Administrative Policies</u>, as they are specific to RCS. These procedures will be reviewed for compliance and accuracy at least every five years.

Contacts

- Behavioral Health Support Team General Contact
- RCS Policy Unit General Contact (internal RCS use)
- RCSPolicy@dshs.wa.gov (external RCS use)
- RCS Quality Improvement Unit General Contact

ALTSA Residential Care Services, Standard Operating Procedures Manual



Table of Contents

Part I: General Guidelines

- A. Behavioral Health Support Team Unit Manager
- B. Behavioral Health Outcome Improvement Specialist
- C. Behavioral Health Training Specialist
- D. Behavioral Health Quality Improvement Consultant (BQIC)

Part II: Appendices

- A. Glossary of Terms
- B. Acronym List
- C. Change Log

ALTSA Residential Care Services, Standard Operating Procedures Manual



Part I: General Guidelines

A. Behavioral Health Support Team Unit Manager

The BHST Unit Manager is responsible for ensuring the division is continuously working toward meeting the goals of the Governor's Mental Health Transformation Initiative.

Some duties of the BHST Unit Manager include but are not limited to:

- 1. Supervise and provide oversight to BHST staff.
- 2. Outline staff responsibilities.
- 3. Ensure processes are being followed.
- 4. Recruitment and hiring of new staff.
- 5. Ensure new staff are trained and can demonstrate a working knowledge of BHST policies and procedures.
- 6. Provide consultation on clinical and non-clinical matters.

ALTSA Residential Care Services, Standard Operating Procedures Manual



B. Behavioral Health Outcome Improvement Specialist

The Behavioral Health Outcome Improvement Specialist is responsible for developing, evaluating, and analyzing quality measures. The Behavioral Health Outcome Improvement Specialist assists the BHST in setting benchmarks and goals for continuous improvement and creates programs to measure those goals. RCS relies on the Behavioral Health Outcome Improvement Specialist's experience in program evaluation, utilization of statistically sound and valid data, and report generation which includes outlining program successes and identifying areas requiring additional resources.

Some duties the Behavioral Health Outcome Improvement Specialist will be asked to perform include but are not limited to:

- 1. Complete program analysis to track and trend outcomes, as well as recommend use of resources, areas for improvement and program gaps, which include identifying intake referral trends by source type, provider, and developing follow up surveys for providers.
- 2. Identify opportunities for improving and streamlining work such as creating metrics and developing electronic reporting methods for the Unit Manager.
- 3. Prepare data and reports to be used as a part of the legislative process.
- 4. Develop an on-going process to maintain quality data. For example, taking over intake processing for weekly case assignment so the BQICs have accurate up-to-date data to assign new referrals.
- 5. As the Intake Coordinator (IC), create a new folder with an intake number and mark in the tracker as Staffing, Connection Café, Training, Consultation, Preliminary Technical Assistance, or Miscellaneous (see section 'Requests for Information, Staffing, Connection Café, Training and/or Consultation').

The RCS Leadership team relies on the Behavioral Health Outcome Improvement Specialist's expertise in the development, implementation and oversight of quality measures, outcomes and improvement processes resulting in positive and measurable impacts on the quality of care for individuals with challenging and complex behavioral health needs residing in LTC and community-based settings.

Section Overview Glossary of Terms Acronym List Table of Contents

ALTSA Residential Care Services, Standard Operating Procedures Manual



C. Behavioral Health Training Specialist

Overview

The Behavioral Health Training Specialist is responsible for providing statewide support to help keep RCS staff and providers apprised of, and educated on, current and changing behavioral health standards. This includes consultation, development, and deployment of exclusive training to build proficiencies, understanding, and abilities in working with individuals with challenging and complex behavioral health needs. The Behavioral Health Training Specialist provides technical assistance and education on regulatory requirements as well as best practice training.

The Behavioral Health Training Specialist is the designated expert for behavioral health training across LTC and community-based settings.

Providers such as Enhanced Services Facilitates (ESF) and Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID), who already have behavioral health services on site as a regulatory requirement will not be offered consultations but may attend BHST offered trainings.

Some duties the Behavioral Health Training Specialist will be asked to complete include but are not limited to:

- 1. Create a curriculum that reflects the most current evidenced-based practice and treatment approaches.
- 2. Research for proven strategies to address the care needs for individuals with challenging behaviors.
- 3. Represent RCS by chairing and facilitating both internal and external stakeholder meetings related to behavioral health training and curriculum development.
- 4. Provide individual and group training sessions.
- 5. Become a certified continuing education credit trainer.
- 6. Practice and promote cultural humility in all aspects of service delivery.

Procedure

1. Registration for BHST Trainings:

The BHST has created a self-registration process for all interested in receiving trainings. Below is the process for locating trainings and registering:

- a. Locate BHST training calendar on BHST providers' page or through BHST staff.
- b. Identify desired training.
- c. Click on 'Click here to register.'
- d. Fill out requested information.
- e. Following submission, a Zoom link will be sent for selected training(s).

ALTSA Residential Care Services, Standard Operating Procedures Manual



2. Additional training requests/inquiries:

Questions for the Behavioral Health Training Specialist can be submitted by <u>email</u>. Often the Behavioral Health Training Specialist receives inquiries on the following offered supports:

- a. <u>RCS Training</u>: Training for Regional RCS staff on a specific subject matter pertaining to behavioral health and the BHST services.
- b. <u>Providers</u>: Deliver in-service training, support groups, and/or clinics to improve and expand practical and clinical approaches to enhance skills in caring for individuals with complex behavioral health issues.
- c. Stakeholder Training: Trainings for stakeholders to learn about the BHST services.
- 3. Email, Training tracker, Training calendar, and Continuing education:

The Behavioral Health Training Specialist will maintain the training email inbox, the training tracker, and the training calendar. The Behavioral Health Training Specialist will provide continuing education credit certificates for trainings that have been approved for continuing education credits.

- a. Training email box:
 - 1) Monitor training email inbox.
 - 2) Respond to inquiries, requests, and comments.
 - 3) Email provider with training intro, links to BHST web page, and the training calendar for self-registration.

b. BHST Training Calendar:

- 1) The BHST training calendar will be curated by the BHST training specialist based applicable requests and trainings found on the Training Menu. The following will be completed monthly:
 - a) Names of trainings, applicable CE's and times will be placed on the BHST training calendar template.
 - b) Once finalized, the upcoming BHST training calendar will be shared with BHST staff and placed in appropriate folders for LTC providers.
 - c) On (or near) the 15th of each month prior to the upcoming month the BHST training calendar will be posted to the BHST providers page (e.g. on or near September 15th October's training calendar will be posted to the providers page).

c. Training Tracker:

- 1) Maintain training tracker by inputting the following information:
 - a) Date of training;
 - b) Facility name and setting type;
 - c) Provider name and contact information (if different);
 - d) Training description;
 - e) Training type;
 - f) Travel time;
 - g) Research, development, and presentation time;
 - h) Presentation completion;
 - i) Number of participants; and
 - j) Presenter name.

ALTSA Residential Care Services, Standard Operating Procedures Manual



- d. Continuing Education Certificates:
 - 1) During applicable CE approved trainings LTC staff will be instructed on how to submit their information for CE certificates.
 - 2) BHST training specialist will issue CE certificates per internal process.

ALTSA Residential Care Services, Standard Operating Procedures Manual



D. Behavioral Health Quality Improvement Consultant (BQIC)

Overview

BQIC consultation offers providers interventions, tools, and resources that fit within the regulatory framework. BQICs research evidence-based interventions, promote regulatory compliance, and create unique approaches to problems. Providers can then utilize this information to support individuals with challenging behavioral health needs in their current or future placement. BQICs also demonstrate a holistic approach to care by considering the whole individual throughout their work with providers. BQICs contribute to the department's mission through their work to resolve challenging and complex behavioral health needs. BQICs reduce the risk of decompensation and re-hospitalization, as well as improving the quality of care in residential settings.

BQIC services are not part of the regulatory process and LTC providers are never compelled to accept consultation or technical assistance.

BQICs are available on a voluntary basis to Adult Family Homes (AFH), Assisted Living Facilities (ALF), Certified Community Residential Services and Supports (CCRSS), and Nursing Homes (NH). Services are available regardless of the individual's funding source.

If the individual in the AFH, ALF, CCRSS, or NH already has behavioral supports in place (including but not limited to State Hospital Discharge and Diversion Team (SHDD), Program of All-Inclusive Care for the Elderly (PACE), Expanded Community Services (ECS), Specialized Behavior Support (SBS), Intensive Residential Treatment (IRT), Program of Assertive Community Treatment (PACT), Staff and Family, the BQIC will carefully review the referral to determine if additional support from the BHST is warranted.

Providers such as Enhanced Services Facilities (ESF) and Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID) who already have behavioral health services on site as a regulatory requirement may be provided with consultation or other support on a case-by-case basis. Consultation with the BHST Unit Manager is required to determine if additional support from the BHST is warranted.

Some duties the BQIC will be asked to complete include but are not limited to:

- 1. Work with LTC and community-based setting staff, assisting them in understanding and complying with regulations relevant to behavioral health concerns.
- 2. Conduct thorough and comprehensive reviews of individual and provider records, from both a minimum standard and a best practice standard.
- 3. Evaluate appropriateness of individual activity offerings and provide suggestions for improving person-centeredness.
- 4. Review provider citation history and individual history (e.g., behavioral issues in prior placements), identifying patterns and trends.
- 5. Assess current interventions and policies for potential citation risks.

ALTSA Residential Care Services, Standard Operating Procedures Manual



- 6. Assist providers with regulation comprehension, particularly in the following areas: resident rights, resident safety, quality of care, documentation, reporting, medication refusal, restraints, abuse, neglect and exploitation, and others that may apply.
- 7. Provide expert-level consultation on behavioral health and related regulatory issues within LTC and community-based settings.
- 8. Collaborate with Home and Community Services (HCS), Developmental Disabilities Administration (DDA), and partner agencies to identify community, recreational, and socialization opportunities, such as clubhouse models in communities for individuals to access on a regular basis for socializing with their peer group or supported employment.
- 9. Comply with requirements of Health Information Portability and Accountability ACT (HIPAA).
- 10. Comply with state and federal laws to protect and maintain the privacy and security of confidential individual information and ensure use of secure/encrypted email communication.

Requests for Information, Staffing, Connection Café, Training and/or Consultation

When collaborating with providers, community partners, and/or agency partners, the BQIC offers technical assistance in the form of staffing, Connection Café, consultation and/or preliminary technical assistance and responds to requests for information.

- 1. Staffing These are questions or queries that do not rise to the level of requiring consultation but involve some time and research. For example, a provider may need assistance understanding how resident rights regulations apply to a particular situation. They may be struggling with a unique delusion or symptom despite having extensive experience working with challenging behaviors. They may be struggling with a challenging behavior despite having worked with the BHST in the past. Or they may have questions about their responsibilities regarding an individual who always threatens to discharge from the provider's care against medical advice (AMA). Despite the concern, support is generally provided via brief phone call and/or email. Staffing may also be provided to a provider that has other behavioral health resources available (i.e., ECS or SBS) where a full consultation is not indicated and may duplicate other services. The BQIC completing a staffing will email the Field Manager at closure with a brief description of the topics covered during the staffing.
- 2. <u>Connection Café</u> These are meetings scheduled between a BQIC and a provider and are less formal than a consultation. The Connection Cafés provide an open forum for staff to ask questions about regulations and other topics that may not be individual specific. These meetings may be used to also answer general questions about many individuals residing in the facility or general challenging behaviors the facility is struggling to support.
- 3. <u>Consultation</u> For providers who have an individual (or up to three individuals) currently residing in their home or facility with challenging behaviors, BQICs will complete a thorough and comprehensive consultation to address the specific needs of an individual and/or the setting itself. Extensive research about the setting and individual becomes the foundation from which the BQIC develops tailored intervention ideas and regulatory guidance. Work is done to identify possible resources and forms of support for the individual and provider staff alike. A written summary is given to the provider and is also discussed during one or two meetings with staff.

Section Overview Glossary of Terms Acronym List Table of Contents

ALTSA Residential Care Services, Standard Operating Procedures Manual



- 4. <u>Preliminary Technical Assistance (PTA)</u> For providers who are considering admitting an individual with known challenging behaviors from a medical hospital, psychiatric facility, another long-term care/community-based placement or other settings but are not sure about the admission or would like assistance with preparing for the arrival, BQICs will be available to support providers ahead of the admission. Like consultations, PTAs involve more extensive research and writing. They also involve one or two meetings with the provider.
- 5. <u>Miscellaneous</u> These are questions that can be addressed with minimal effort. Examples may include questions such as "What is an ECS contract?" "How do I get an example of a good care plan?" or "It was suggested I talk to the RCS BHST. What is that and what do they do?" Information is generally provided via email.

Procedure

- 1. Referrals to the BQIC can be made in the following ways:
 - BHST Microsoft Forms Online Intake Form
 - BHST Email: rcsbhst@dshs.wa.gov
 - BHST Referral Message Line: 360-725-3445
 - a. BQIC will ensure the provider has approved participation with the consultation process.
 - b. As deemed necessary by the BQIC the process outlined below may be altered or changed to meet the needs of the case received (e.g. a need has been identified for the BQIC to do observations onsite prior to the consultation and delivery of potential interventions).
- 2. Intake Process:
 - a. Referral request received.
 - b. If referral appears to be a "Miscellaneous" (question or task outside the parameters of a typical consultation) (MISC), the referral is then marked as a MISC in the tracker and assigned to the Unit Manager. The Unit Manager then provides the requested information and adds required data to the tracker.
 - c. If the referral appears to be a Training request, it is marked in the tracker as "Incomplete Training" and given an intake number. The IC then creates a new folder with the intake number. A new intake form is started and placed in the folder, along with the original referral message (if available).
 - d. If the referral appears to be a consult or PTA request, it is marked in the tracker as either "Incomplete Consult" or "PTA Incomplete" and given an intake number. The IC then creates a new folder with the intake number. A new intake form is started and placed in the folder, along with the original referral message (if available).
 - e. The IC will add the following information to the consultation document:
 - 1) Individual name;
 - 2) Individual date of birth (DOB) and age;
 - Provider type;
 - 4) Name and license number of provider;
 - 5) Region and address of provider;

Section Overview Glossary of Terms Acronym List Table of Contents

Chapter 29 – BHST 01.17.2025 Page 11 | 20

ALTSA Residential Care Services, Standard Operating Procedures Manual



- 6) Indicate 'yes' or 'no' for the repeat provider section;
- 7) Referent contact information and role/title;
- Details of the referral request;
- 9) Number of residents; and
- 10) Whether there is provider approval.
- 11) If the consultation is a PTA, provider contact person and contact information, note from contact with the provider.
- f. IC checks for duplicate/past provider/individual and makes notations in the tracker accordingly.
- g. Unit Manager or BQIC coordinates tentative data tracker presentation for case assignment.
- 3. Case Assignment:

The BHST is not able to provide crisis response services. Incoming referrals are not triaged; cases are assigned to BQICs on a first-come, first-served basis.

- a. Cases are assigned based on BQIC availability, region, and other factors.
- b. Cases are assigned and marked as Incomplete Connection Café, Preliminary Technical Assistance (PTA Incomplete) or Consultation Incomplete.
- c. Updating Tracker:
 - a. Update tracker with assigned BQIC name.
- 4. Initial steps after case assignment:
 - a. Intake folder with attached intake form and any associated emails or voicemails will be moved to the BQIC's personal folder on the Q: drive.
 - b. Intake information will be reviewed by the BQIC. All relevant research will be documented on the intake form.
 - c. Research will be conducted by the BQIC on the facility using the following applications going back a minimum of 12 months:
 - 1) STARS
 - 2) ASPEN ACO (if NH)
 - d. Research will be conducted by the BQIC on the individual using the following applications going back a minimum of 12 months:
 - 1) STARS
 - 2) CARE
 - 3) ASPEN ACO MDS (if NH)
 - e. BQIC will review BARCODE if the consultation/ PTA involves consulting on questions relating to substance use disorders (SUD) of a specific resident. A release of information must be filled out agreeing to releasing such information and signed by the individual or their decision maker prior to the consultation.
 - f. Identify Questions to ask during the interview with the LTC facility contact. Questions will be tailored for the provider based on research results and concerns identified in the original intake request.

ALTSA Residential Care Services, Standard Operating Procedures Manual



g. Call provider/referent:

- a. BQIC will ask curated questions of provider contact. Responses will be documented in the intake form.
- b. Schedule the consultation. While it is preferable to hold the meeting in-person at the facility, there may be extenuating circumstances, and the BQIC will use their discretion to determine whether Teams/Zoom may be a more appropriate format.
- c. If the individual's care plan is available and the provider is willing to share it and/or any other relevant documentation (i.e., behavioral tracking logs, progress notes, provider policies, crisis plan, etc.), request that it be sent.
- d. Depending on the specific circumstances of the referral, the BQIC will attempt to reach the provider three times, using the phone and/or email contact provided. After the last attempt, the BQIC will notify the provider that the intake will be closed out if no contact is made.
- e. Update intake form with all above information.
- h. Additional contact with involved parties. As necessary, the BQIC will curate questions and contact relevant collateral contacts, such as case managers.
- i. If the provider declines, the individual no longer resides under the provider's care, or there is another reason the consultation does not proceed, the BQIC will complete the intake and email the Behavioral Health Outcome Improvement Specialist. The Behavioral Health Outcome Improvement Specialist will view data and update the tracker. BQIC moves the intake folder to closed folder in the Q: drive.
- j. If the provider is unsure if they want assistance, the BQIC may offer to follow up with the provider.

Example: A BQIC has been assigned a PTA. They call the provider, but the provider is unsure if they would like BHST services because they feel they need to meet the individual before making that decision. The BQIC can offer to follow up with the provider in 4-6 weeks to see how the placement is going and if they need BHST assistance.

5. Visit preparation:

- a. Update Outlook calendar. If traveling, include travel time.
- b. Email meeting confirmation to provider. If scheduling a web-based meeting, include link/directions for Zoom or Teams. Update intake form with information describing when this information was sent to the provider.
- c. BQIC will gather all appropriate resources and generate a consultation document for each individual. BQIC will update all documentation needed (i.e., best practices, etc.).
- d. Email meeting details to RCS Field Manager (FM). Include RCS Complaint Investigator (CI) if there are open investigations.
- e. If documentation (e.g., care plan) is received from the provider, create a PDF, and provide comments/feedback as applicable or annotate feedback in the 'Resident Specific Consult' document.

Section Overview Glossary of Terms Acronym List Table of Contents

ALTSA Residential Care Services, Standard Operating Procedures Manual



f. Minimally, one day prior to the consultation meeting, email all documents to the provider in PDF format (except for any templates, which should be left in Word format so the provider may modify them).

6. Consultation meeting:

- a. If consultation is onsite, observe/talk with the individual and staff. If care plan/documentation was not received prior to consultation, review while onsite. Meet with provider or facility contact and offer consult, feedback, and resources. Inform provider they will receive a survey via email.
- b. If consultation is digital, talk with leadership and provide consult, feedback, and resources. Inform provider they will receive a survey via email.
- c. When appropriate, use the Action Plan template with the provider to identify potential actionable steps and set goals for implementing interventions/ concepts provided during the consultation.

7. Post consult:

- a. On the intake form, BQIC documents consult time and date, who attended, and observations/impressions.
- b. BQIC will create questions for the provider based on the information discussed during the consultation. These questions will guide the conversation during the follow-up call. BQIC may also use the Action Plan as a tool for follow up questions.

8. Follow up:

- a. Following the consultation (approximately 14 to 28 days later) or PTA (approximately 28 to 42 days later), the BQIC will complete a follow-up call to the facility. In the call, the BQIC will:
 - 1) Get updates on how things are going.
 - 2) Check if there is any difficulty interpreting consult suggestions or use of resources.
 - 3) Determine if:
 - a) a second visit is wanted or needed;
 - b) a referral to a trainer is wanted or needed; and if so,
 - c) schedule a follow-up meeting or make the appropriate referrals.
- b. BQIC will call those involved before the consultation (i.e., HCS, DDA, etc.) and convey impressions and other necessary information. The BQIC will also explain case closure to HCS, DDA, or others involved. BQIC will send documents to contacts as appropriate.
- c. All information will be documented on the intake form.

9. Case closure:

- a. Email consultation (PDF format) to FM and BHST Supervisor.
- b. Add resources and useful information to OneNote.
- c. Move entire folder to the Closed Folder.
- d. Email Behavioral Health Outcome Improvement Specialist letting them know to close the case.

ALTSA Residential Care Services, Standard Operating Procedures Manual



Part II: Appendices

A. Glossary of Terms

Adult Family Home (AFH) – State licensed residential homes to care for two to eight vulnerable adults who may have mental health, dementia, and/or developmental disability/special needs. The homes are private businesses providing each person with a room, meals, laundry, supervision, assistance with activities of daily living, and personal care. Some provide nursing or other special care and services.

Agency – State agency.

Aspen (Automated Survey Process Environment) – a suite of software applications designed to help State Agencies collect and manage healthcare provider data.

Assisted Living Facility (ALF) — State licensed facilities providing basic services assuming general responsibility for the safety and well-being of vulnerable adults. ALFs allow the vulnerable adults to live an independent lifestyle in a community setting while receiving necessary services from a qualified workforce. ALFs can vary in size and ownership from a family-operated 7-bed facility to a corporation-based facility with 150+ beds. ALFs may provide intermittent nursing services or serve vulnerable adults with mental health needs, developmental disabilities, or dementia.

Certified Community Residential Services and Supports (CCRSS) – Includes Supported Living (SL), Group Homes (GH), and Group Training Homes (GTH). These are residential services provided to individuals who are eligible clients of the Developmental Disabilities Administration (DDA). Supported living clients are vulnerable adults living in their own homes in the community. The client or legal representative owns, rents, or leases the home.

Code of Federal Regulation (CFR) – The Departments and Agencies of the Federal Government providing codification of the general and permanent rules published in the Federal Register.

Crisis diversion – Crisis diversion services are provided by trained specialists and are available to individuals determined by DDA to be at risk of institutionalization. Crisis diversion support services are provided in the client's own home. Crisis diversion bed services are provided in a residence maintained by the service provider.

Crisis Diversion Services – DDA offers these services to clients who show a serious decline in mental functioning which may place them at risk of psychiatric hospitalization.

Dementia care – means a therapeutic modality or modalities designed specifically for the care of persons with dementia.

Department – This term refers to the Washington state Department of Social and Health Services (DSHS).

Enhanced Services Facilities (ESF) – means a facility that provides support and services to persons for whom acute inpatient treatment is not medically necessary. RCW 70.97.010.

Facility – as defined in RCW 74.34.020.

Home – A generic term used to describe an adult family home in the State of Washington.

Medically fragile – means a chronic and complex physical condition which results in prolonged dependency on specialized medical care that requires frequent daily skilled nursing interventions. If these medically necessary interventions are interrupted or denied, the resident may experience irreversible damage or death. Examples of specialized medical care and treatment for medically

ALTSA Residential Care Services, Standard Operating Procedures Manual



fragile residents include but are not limited to: IV therapies requiring monitoring of vital signs and dose titration dependent on lab values; wound care requiring external vacuum or other mechanical devices for debridement; complicated wound care requiring other specialized or extensive interventions and treatment; ventilator or other respiratory device dependence and monitoring; dependence on licensed staff for complex respiratory support; and peritoneal or hemodialysis (onsite).

Mental disorder – means any organic, mental, or emotional impairment that has substantial adverse effects on an individual's cognitive or volitional functions.

Mental health professional (MHP) – any person qualified and licensed to provide assessments, diagnosis, and therapy for mental health conditions.

Minimum Data Set (MDS) – a core set of screening, clinical assessment, and functional status elements, including common definitions and coding categories that form the foundation of the comprehensive assessment for all residents of long-term care facilities certified to participate in Medicare and Medicaid and for patients receiving SNF services in non-critical access hospitals with a swing bed agreement.

Nursing facility (NF) – a nursing home, or any portion of a hospital, veterans' home, or residential habilitation center, that is certified to provide nursing services to Medicaid recipients under section 1919(a) of the federal Social Security Act. All beds in a nursing facility are certified to provide Medicaid services, even though one or more of the beds are also certified to provide Medicare skilled nursing facility services.

Nursing home (NH) – A term that can include both 24-hour Skilled Nursing Facilities (SNF) and Nursing Facilities (NF). SNFs are those that participate in both Medicare and Medicaid. NFs are those that participate in Medicaid only.

Resident [ALF] – means an individual who:

- 1) Chooses to reside in an ALF, including an individual receiving respite care;
- 2) Is not related by blood or marriage to the operator of the ALF;
- 3) Receives basic services; and
- 4) Receives one or more of the services listed in the definition of "general responsibility for the safety and well-being of the resident," and may receive domiciliary care or respite care provided directly, or indirectly, by the assisted living facility. Whereas a nonresident individual may receive services that are permitted under <u>WAC 388-78A-2032</u>.

Revised Code of Washington (RCW) – The compilation of all permanent laws now in force. It is a collection of Session Laws (enacted by the Legislature, and signed by the Governor, or enacted via the initiative process), arranged by topic, with amendments added and repealed laws removed. It does not include temporary laws such as appropriation acts.

Scope and severity (S/S) [NH] – The effect of the deficient practice on resident outcome (severity level) and the number of residents potentially or actually affected (scope level), using the <u>decision</u> <u>matrix grid guidance</u> provided by CMS.

Skilled nursing facility (SNF) – a nursing home, a portion of a nursing home, or a long-term care wing or unit of a hospital that has been certified to provide nursing services to Medicare recipients under <u>section 1819(a) of the federal Social Security Act</u>.

State agency (SA) – A permanent or semi-permanent organization in government that is responsible for the oversight and administration of specific functions.

ALTSA Residential Care Services, Standard Operating Procedures Manual



Supplemental residents – expanded sample or informal interviews to validate or invalidate issues.

Washington Administrative Code (WAC) – Regulations of executive branch agencies issued by authority of statutes. Similar to legislation and the Constitution, regulations are a source of primary law in Washington State. The WAC codifies the regulations arranging them by subject or agency.

Working days (business days) – defined as Monday through Friday, excluding federal and state holidays.

<u>Section Overview</u> <u>Table of Contents</u>

CHAPTER 29: Behavioral Health Support Team (BHST) ALTSA Residential Care Services, Standard Operating Procedures Manual



B. Acronym List

| AA | Administrative Assistant | | | | |
|---------|-----------------------------------------------------------------------------|--|--|--|--|
| ACO | Aspen Central Office | | | | |
| ACTS | ASPEN Complaints/Incidents Tracking System | | | | |
| AFH | Adult Family Home | | | | |
| ALF | Assisted Living Facility | | | | |
| ALTSA | Aging and Long-Term Support Administration | | | | |
| AMA | Against Medical Advice | | | | |
| ASPEN | Automated Survey Processing Environment System | | | | |
| BH | Behavioral Health | | | | |
| ВНО | Behavioral Health Organization | | | | |
| BHST | Behavioral Health Support Team | | | | |
| BQIC | Behavioral Health Quality Improvement Consultant | | | | |
| CARE | Comprehensive Assessment and Reporting Evaluation System | | | | |
| CC | Carbon Copy (in emails) | | | | |
| CCRSS | Certified Community Residential Services and Supports | | | | |
| CFR | Code of Federal Regulations | | | | |
| CI | Complaint Investigator/Investigations | | | | |
| CMS | Centers for Medicare and Medicaid Services | | | | |
| DDA | Developmental Disabilities Administration | | | | |
| DOB | Date of Birth | | | | |
| DSHS | Department of Social and Health Services | | | | |
| eCFR | Electronic Code of Federal Regulation | | | | |
| ECS | Expanded Community Services | | | | |
| ESF | Enhanced Services Facilities | | | | |
| FM | Field Manager | | | | |
| HCS | Home and Community Services | | | | |
| HIPAA | Health Insurance Portability and Accountability Act | | | | |
| HQ | Headquarters | | | | |
| IC | Intake Coordinator | | | | |
| ICF/IID | Intermediate Care Facilities for Individuals with Intellectual Disabilities | | | | |
| Ю | Intake Only | | | | |
| IRT | Intensive Residential Treatment | | | | |
| LTC | Long-Term Care | | | | |
| MISC | Miscellaneous | | | | |
| NF | Nursing Facility | | | | |
| NH | Nursing Homes | | | | |
| PACE | Program of All-Inclusive Care for the Elderly | | | | |
| PACT | Program of Assertive Community Treatment | | | | |
| PDF | Portable Document Format | | | | |
| PTA | Preliminary Technical Assistance | | | | |

CHAPTER 29: Behavioral Health Support Team (BHST) ALTSA Residential Care Services, Standard Operating Procedures Manual



| RCS | Residential Care Services | | | |
|------|---------------------------------------------|--|--|--|
| RCW | Revised Code of Washington | | | |
| SBS | Specialized Behavior Support | | | |
| SHDD | State Hospital Discharge and Diversion Team | | | |
| SOM | State Operations Manual | | | |
| SOP | Standard Operating Procedures | | | |
| SUD | Substance Use Disorders | | | |
| Т | Tentative | | | |
| WAC | Washington Administrative Code | | | |
| WD | Working Day | | | |

Table of Contents Section Overview

CHAPTER 29: Behavioral Health Support Team (BHST)ALTSA Residential Care Services, Standard Operating Procedures Manual



C. Change Log

| Eff. Date | Chapter/ Section # | Description of Change | Reason for Change | Communication and Training Plan |
|------------|-----------------------|---------------------------------------------------|-------------------------------|---------------------------------|
| 01/17/2025 | Entire Chapter | Formatting updates | Comply with new DSHS branding | N/A |
| 06/14/2023 | Full Chapter | Updates to requirements, transition to new format | Updated Expectations | MB <u>R23-056</u> |
| 10/14/2022 | Full Chapter | Establishment of chapter | Establishment of chapter | MB <u>R22-078</u> |

Table of Contents