



Section B: Financial Information

(1) CFS-101

CFS - 101 Part I for FY 2009
CFS - 101 Part I for FY 2010

CFS - 101 Part II for FY 2009
CFS - 101 Part II for FY 2010

CFS - 101 Part III for FY 2007

Children's Administration
Department of Social and Health Services

Child and Family Services Plan FY 2010 - FY 2014 CFS 101

Below are the **unsigned** fiscal documents, as listed:

- CFS-101 Part I for FY 2009
- CFS-101 Part I for FY 2010

- CFS-101 Part II for FY 2009
- CFS-101 Part II for FY 2010

- CFS-101 Part III for FY 2007

Electronic access to the signed CFS-101 forms by the Interim Assistant Secretary of the Children's Administration, Randy Hart, is available in the PDF file attachment. They include the following:

- CFS-101 Part I for FY 2009
- CFS-101 Part I for FY 2010

- CFS-101 Part III for FY 2007

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV

Fiscal Year 2009, October 1, 2008 through September 30, 2009

1. State or Indian Tribal Organization (ITO): Washington		2. EIN: 91-6001088
3. Address: Department of Social and Health Services, Children's Administration P. O. Box 45710 Olympia, WA 98504-5710		4. Submission: [] New [x] Revision
5. Total estimated title IV-B Subpart 1, Child Welfare Services (CWS) Funds		\$ 5,309,160.00
a) Total administration (not to exceed 10% of estimated allotment)		\$ 530,916.00
6. Total estimated title IV-B Subpart 2, Provides Safe and Stable Families (PSSF) Funds. This amount should equal the sum of lines a - f.		\$ 5,783,533.00
a) Total Family Preservation Services		\$ 1,566,252.00
b) Total Family Support Services		\$ 1,156,706.60
c) Total Time-Limited Family Reunification Services		\$ 1,156,706.60
d) Total Adoption Promotion and Support Services		\$ 1,156,706.60
e) Total for Other Service Related Activities (e.g. planning)		\$ -
f) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)		\$ 578,353.20
7. Total estimated title IV-B Subpart 2, Monthly Caseworker Visit (MCV) Funds (FOR STATES ONLY)		\$ 168,808.00
a) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)		\$
8. Re-allotment of title IV-B subparts 1 & 2 funds for States and Indian Tribal Organizations:		
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the following programs: CWS \$ _____, PSSF \$ _____, and/or MCV _____.		
b) If additional funds become available to States and ITO, specify the amount of additional funds the State or Tribes requesting: CWS \$ _____, PSSF \$ _____, and/or MCV _____.		
9. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required): Estimated Amount plus additional allocation, as available. (FOR STATES ONLY)		\$ 535,969.00
10. Estimated Chafee Foster Care Independence Program (CFCIP) funds		\$ 2,977,712.00
a) Indicate the amount of State's or Tribe's allotment to be spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)		\$
11. Estimated Education and Training Voucher (ETV) funds		\$ 1,001,314.00
12. Re-allotment of CFCIP and ETV Program Funds:		
a) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out CFCIP Program		\$
b) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out ETV Program		\$

c) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for CFCIP Program	\$
d) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for ETV Program	\$
<p>13. Certification by State Agency and/or Indian Tribal Organization. The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 2009.</p>	
Signature and Title of State/Tribal Agency Official	Signature and Title of Central Office Official

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV

Fiscal Year 2009, October 1, 2009 through September 30, 2010

1. State or Indian Tribal Organization (ITO): Washington	2. EIN: 91-6001088
3. Address: Department of Social and Health Services, Children's Administration P. O. Box 45710 Olympia, WA 98504-5710	4. Submission: [x] New [] Revision
5. Total estimated title IV-B Subpart 1, Child Welfare Services (CWS) Funds	\$5,468,435.00
a) Total administration (not to exceed 10% of estimated allotment)	\$ 546,843.50
6. Total estimated title IV-B Subpart 2, Provides Safe and Stable Families (PSSF) Funds. This amount should equal the sum of lines a - f.	\$5,957,040.00
a) Total Family Preservation Services	\$1,443,681.00
b) Total Family Support Services	\$1,191,408.00
c) Total Time-Limited Family Reunification Services	\$1,191,408.00
d) Total Adoption Promotion and Support Services	\$1,191,408.00
e) Total for Other Service Related Activities (e.g. planning)	\$
f) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)	\$ 595,704.00
7. Total estimated title IV-B Subpart 2, Monthly Caseworker Visit (MCV) Funds (FOR STATES ONLY)	\$ 343,431.00
a) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)	\$
8. Re-allotment of title IV-B subparts 1 & 2 funds for States and Indian Tribal Organizations:	
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the following programs: CWS \$ _____, PSSF \$ _____, and/or MCV _____.	
b) If additional funds become available to States and ITO, specify the amount of additional funds the State or Tribes requesting: CWS \$ _____, PSSF \$ _____, and/or MCV _____.	
9. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required): Estimated Amount plus additional allocation, as available. (FOR STATES ONLY)	\$ 552,048.00
10. Estimated Chafee Foster Care Independence Program (CFCIP) funds	\$3,067,043.00
a) Indicate the amount of State's or Tribe's allotment to be spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$
11. Estimated Education and Training Voucher (ETV) funds	\$1,031,353.00
12. Re-allotment of CFCIP and ETV Program Funds:	
a) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out CFCIP Program	\$
b) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out ETV Program	\$
c) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for CFCIP Program	\$
d) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for ETV Program	\$

13. Certification by State Agency and/or Indian Tribal Organization.

The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 2010.

Signature and Title of State/Tribal Agency Official

Signature and Title of Central Office Official

CFS-101 Part II: Annual Estimated Expenditure Summary of Child and Family Services

State or Indian Tribal Organization (ITO) Washington

For FFY OCTOBER 1 , 2008 TO SEPTEMBER 30, 2009

SERVICES/ACTIVITIES	TITLE IV-B			(d) CAPTA*	(e) CFCIP	(f) ETV	(g) TITLE IV-E	(h) STATE, LOCAL, & DONATED FUNDS	(i) NUMBER TO BE SERVED		(j) POPULATION TO BE SERVED	(k) GEOG. AREA TO BE SERVED
	(a) Subpart I-CWS	(b) Subpart II-PSSF	(c) Subpart II- MCV *						Individuals	Families		
1.) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)	\$ 1,592,748.00	\$ 1,566,252.00						\$ 1,028,070.00	3690	145		Statewide
2.) PROTECTIVE SERVICES	\$ 2,654,580.00			\$ 535,969.00				\$ 911,406.00	73253		Reports of Abuse/Neglect	Statewide
3.) CRISIS INTERVENTION (FAMILY PRESERVATION)	\$ 1,061,832.00	\$ 1,156,706.60						\$ 761,698.00	4415			Statewide
4.)TIME-LIMITED FAMILY REUNIFICATION SERVICES		\$ 1,156,706.60						\$ 397,136.00	7690			Statewide
5.) ADOPTION PROMOTION AND SUPPORT SERVICES		\$ 1,156,706.60						\$ 397,136.00			All Eligible Children	Statewide
6.) FOR OTHER SERVICE RELATED ACTIVITIES (e.g. planning)		\$ -						\$				Statewide
7.) FOSTER CARE MAINTENANCE:												Statewide
(a) FOSTER FAMILY & RELATIVE FOSTER CARE							\$ 9,490,909.09	\$ 47,781,818.00	6981		All Eligible Children	Statewide
(b) GROUP/INST CARE									1046		All Eligible Children	Statewide
8.) ADOPTION SUBSIDY PMTS.							\$37,000,000.00	\$ 41,000,000.00	13466		All Eligible Children	Statewide
9.) GUARDIANSHIP ASSIST. PMTS.												Statewide
10.) INDEPENDENT LIVING SERVICES					\$ 2,977,712.00			\$ 766,761.00				
11.) EDUCATION AND TRAINING VOUCHERS						\$ 1,001,314.00		\$ 257,838.00				
12.) ADMINISTRATIVE COSTS		\$ 578,353.20					\$49,200,000.00	\$ 49,200,000.00				

13.) STAFF & EXTERNAL PARTNERS TRAINING									
14.) FOSTER PARENT RECRUITMENT & TRAINING							\$ 1,309,090.91	\$ 2,836,364.00	
15.) ADOPTIVE PARENT RECRUITMENT & TRAINING							\$ 377,000.00	\$ 323,000.00	
16.) CHILD CARE RELATED TO EMPLOYMENT/TRAINING									1946
17.) CASEWORKER RETENTION, RECRUITMENT & TRAINING		\$ 168,808.00							
18.) TOTAL	\$ 5,309,160.00	\$ 5,783,533.00	\$ -	\$ 535,969.00	\$ 2,977,712.00	\$ 1,001,314.00	\$ 97,377,000.00	\$145,661,227.00	

* States Only, Indian Tribes are not required to include information on these programs

CFS-101 Part II: Annual Estimated Expenditure Summary of Child and Family Services

State or Indian Tribal Organization (ITO) Washington

For FFY OCTOBER 1 , 2009 TO SEPTEMBER 30, 2010

SERVICES/ ACTIVITIES	TITLE IV-B			(d) CAPTA*	(e) CFCIP	(f) ETV	(g) TITLE IV-E	(h) STATE, LOCAL & DONATED FUNDS	(i) NUMBER TO BE SERVED		(j) POPULATION TO BE SERVED	(k) GEOG. AREA TO BE SERVED
	(a) Subpart I- CWS	(b) Subpart II- PSSF	(c) Subpart II- MCV *						Individuals	Families		
1.) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)	\$1,640,530.44	\$1,443,680.70						\$1,028,070.00	3690	145		Statewide
2.) PROTECTIVE SERVICES	\$2,734,217.40			\$ 552,048.07				\$ 911,406.00	73253		Reports of Abuse/Neglect	Statewide
3.) CRISIS INTERVENTION (FAMILY PRESERVATION)	\$1,093,686.96	\$1,191,407.80						\$ 761,698.00	4415			Statewide
4.)TIME-LIMITED FAMILY REUNIFICATION SERVICES		\$1,191,407.80						\$ 397,136.00	7690			Statewide
5.) ADOPTION PROMOTION AND SUPPORT SERVICES		\$1,191,407.80						\$ 397,136.00			All Eligible Children	Statewide
6.) FOR OTHER SERVICE RELATED ACTIVITIES (e.g. planning)		\$ -						\$ -				Statewide
7.) FOSTER CARE MAINTENANCE: (a) FOSTER FAMILY & RELATIVE FOSTER CARE							\$9,490,909.09	\$47,781,818.00	6981		All Eligible Children	Statewide
(b) GROUP/INST CARE									1046		All Eligible Children	Statewide
8.) ADOPTION SUBSIDY PMTS.							\$37,000,000.00	\$41,000,000.00	13466		All Eligible Children	Statewide
9.) GUARDIANSHIP ASSIST. PMTS.												Statewide
10.) INDEPENDENT LIVING SERVICES					\$3,067,043.36			\$ 766,761.00				
11.) EDUCATION AND TRAINING VOUCHERS						\$1,031,353.42		\$ 257,838.00				
12.) ADMINISTRATIVE COSTS		\$ 595,703.90					\$49,200,000.00	\$49,200,000.00				

13.) STAFF & EXTERNAL PARTNERS TRAINING									
14.) FOSTER PARENT RECRUITMENT & TRAINING							\$1,309,090.91	\$2,836,364.00	
15.) ADOPTIVE PARENT RECRUITMENT & TRAINING							\$ 377,000.00	\$ 323,000.00	
16.) CHILD CARE RELATED TO EMPLOYMENT/TRAINING									1946
17.) CASEWORKER RETENTION, RECRUITMENT & TRAINING		\$ 343,431.00							
18.) TOTAL	\$5,468,434.80	\$5,957,039.00	\$ -	\$ 552,048.07	\$3,067,043.36	\$1,031,353.42	\$97,377,000.00	\$145,661,227.00	

* States Only, Indian Tribes are not required to include information on these programs

CFS-101, PART III: Annual Expenditures for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education And Training Voucher (ETV) : Fiscal Year 2007: October 1, 2006 through September 30, 2007

1. State or Indian Tribal Organization (ITO): Washington State		2. EIN: 91-6001088		3. Address: Department of Social and Health Services, Children's Administration PO Box 45710 Olympia, WA 98594-5710		
4. Submission: <input checked="" type="checkbox"/> New <input type="checkbox"/> Revision						
<i>Description of Funds</i>	<i>Estimated Expenditures</i>	<i>Actual Expenditures</i>	<i>Number served</i>		<i>Population served</i>	<i>Geographic area served</i>
			<i>Individuals</i>	<i>Families</i>		
5. Total title IV-B, subpart 1 funds	\$ 6,300,000.00	\$5,313,865.00	107293	187	All eligible children and youth	Statewide
a) Total Administrative Costs (not to exceed 10% of Federal allotment)		\$				
6. Total title IV-B, subpart 2 funds (This amount should equal the sum of lines a - f.)	\$ 6,400,000.00	\$5,883,990.00	107293	187	All eligible children and youth	Statewide
a) Family Preservation Services	\$ 1,280,000.00	\$1,765,197.00				
b) Family Support Services	\$ 1,280,000.00	\$1,176,798.00				
c) Time-Limited Family Reunification Services	\$ 1,280,000.00	\$1,176,798.00				
d) Adoption Promotion and Support Services	\$ 1,280,000.00	\$1,176,798.00				
e) Other Service Related Activities (e.g. planning)	\$ 640,000.00	\$ -				
f) Administrative Costs (FOR STATES: not to exceed 10% of total allotment after October 1, 2007)	\$ 640,000.00	\$ 588,399.00				
7. Total Monthly Caseworker Visit Funds (STATE ONLY)	\$	\$				
a) Administrative Costs (not to exceed 10% of Federal allotment)	\$	\$				
8. Total Chafee Foster Care Independence Program (CFCIP) funds	\$2,625,000.00	\$2,115,400.00				

a) Indicate the amount of State's allotment spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$	\$ 483,440.00				
9. Total Education and Training Voucher (ETV) funds	\$ 875,000.00	\$ 891,167.00	210		18 - 23	Statewide
10. Certification by State Agency or Indian Tribal Organization (ITO). The State agency or ITO agrees that expenditures were made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 2007.						
<i>Signature and Title of State/Tribal Agency Official</i>	<i>Date</i>	<i>Signature and Title of Central Office Official</i>		<i>Date</i>		



Section B: Financial Information

(2) Title IV-B Subpart 2 Funds: Federal Requirements

**Children's Administration
Department of Social and Health Services**

Child and Family Services Plan FY 2010 - FY 2014
Title IV-B Subpart 2 Funds: Federal Requirements

Children's Administration will use Title IV-B, subpart 2 funds during FY 2009 on administrative costs and service delivery within the following four main categories:

Family preservation (at least 20 percent and up to 30 percent): The term "family preservation services" means services for children and families designed to help families (including adoptive and extended families) at risk or in crisis, including-

(A) service programs designed to help children-

(i) where safe and appropriate, return to families from which they have been removed; or

(ii) be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be safe and appropriate for a child, in some other planned, permanent living arrangement;

(B) preplacement preventive services programs, such as intensive family preservation programs, designed to help children at risk of foster care placement remain safely with their families;

(C) service programs designed to provide follow-up care to families to whom a child has been returned after a foster care placement;

(D) respite care of children to provide temporary relief for parents and other caregivers (including foster parents);

(E) services designed to improve parenting skills (by reinforcing parents' confidence in their strengths, and helping them to identify where improvement is needed and to obtain assistance in improving those skills) with respect to matters such as child development, family budgeting, coping with stress, health, and nutrition; and

(F) infant safe haven programs to provide a way for a parent to safely relinquish a newborn infant at a safe haven designated pursuant to a State law.

Children's Administration services include but are not limited to: Family Preservation Services, Intensive Family Preservation Services, Medicaid Treatment Child Care (MTCC) and Supervised Visitations.

Community based family support (at least 20 percent): The term "family support services" means community based services to promote the safety and well being of children and families designed to increase the strength and stability of families (including adoptive, foster, and extended families), to increase parents' confidence and competence in their parenting abilities, to afford children a safe, stable and supportive family environment, to strengthen parental relationships and promote healthy marriages, and otherwise to enhance child development.

Children's Administration services include but are not limited to: Early Intervention Programs (EIP), Early Family Support Services (EFSS), Continuum of Care (COC), Crisis Family Intervention (CFI) and Sexually Aggressive Youth services (SAY).

Time limited family reunification (at least 20 percent): The term 'time limited family reunification services' means the services and activities described in subparagraph (B) that are provided to a child that is removed from the child's home and placed in a foster family home or a child care institution and to the parents or primary caregiver of such a child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15 month period that begins on the date that the child, pursuant to section 475(5)(F), is considered to have entered foster care.

(A) SERVICES AND ACTIVITIES DESCRIBED.—The services and activities described in this subparagraph are the following:

- (i) Individual, group, and family counseling.
- (ii) Inpatient, residential, or outpatient substance abuse treatment services.
- (iii) Mental health services.
- (iv) Assistance to address domestic violence.
- (v) Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries.
- (vi) Transportation to or from any of the services and activities described in this subparagraph.

Children’s Administration services include but are not limited to: Parent Child Interactive Therapy (PCIT), Intensive Family Preservation Services (IFPS), Mental Health Evaluations and Treatment Services, Family Preservation Services (FPS), Supervised Visitation and Functional Family Therapy (FFT).

Adoption promotion and support services (at least 20 percent): The term 'adoption promotion and support services' means services and activities designed to encourage more adoptions out of the foster care system, when adoptions promote the best interests of children, including such activities as pre and post adoptive services and activities designed to expedite the adoption process and support adoptive families.

Children’s Administration services include but are not limited to: Adoption recruitment, completion of adoption home studies on prospective adoptive families, matching children and families, preparing child/youth for adoption, preparing families for adoption, providing services to children and families to support adoption and facilitating application and oversight of the adoption support program.

Administrative expenditures (up to 10 percent): This funding will be used for administering the Title IV-B State Plan through centralized support in the areas of procurement, payroll management, personnel functions management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, and auditing.



Section B: Financial Information

(3) Other Fiscal Information

**Children's Administration
Department of Social and Health Services**

Child and Family Services Plan FY 2010 - FY 2014 Other Fiscal Information

CAPTA Use of State Grant Funds

In FY 2009, CAPTA State Grant funds were used for the following:

- ⇒ Six regional Child Protective Services Program Managers
- ⇒ The Child Abuse and Neglect Training and Consultation Network

These investments supported the following areas of improvement:

- Developing and updating systems of technology that support the program and track reports of child abuse and neglect from intake through final disposition and allow interstate and intrastate information exchange.
- Enhancing the general child protective system by developing, improving, and implementing risk and safety assessment tools and protocols.
- Developing, strengthening, and facilitating training including:
 - ⇒ Training regarding research-based strategies to promote collaboration with the families;
 - ⇒ Training regarding the legal duties of such individuals;
 - ⇒ Personal safety training for case workers.

In FY 2010, CA will continue to focus attention in the three designated areas as outlined below:

- Developing and updating systems of technology that support the program and track reports of child abuse and neglect from intake through final disposition and allow interstate and intrastate information exchange.
- Developing, strengthening, and facilitating training, including the following:
 - ⇒ Training on research-based strategies to promote collaboration with the families.
 - ⇒ Training regarding the legal duties of such individuals.
 - ⇒ Personal safety training for case workers.
- Supporting and enhancing collaboration among public health agencies, the child protection system, and private community-based programs to provide the following:
 - ⇒ Child abuse and neglect prevention and treatment services (including linkages with education systems);
 - ⇒ Addressing the health needs, including mental health needs, of children identified as abused or neglected. This includes supporting prompt, comprehensive health and developmental evaluations for children who are the subject of substantiated child maltreatment reports.

Chafee Use of Allocated Funds

- Actual Expenditure of Chafee allocated funds for FY 2007 (final) is \$2,598,840.00
- Percentage of Chafee funds used for room and board for FY 2007 was 22.68%
- Actual Expenditure of Chafee allocated funds for FY 2008 is \$1,321,097.93 (up to March 30, 2009)
- Actual Expenditure of ETV allocated funds for FY 2007 (final) was \$891,167
- Actual Expenditure of ETV allocated funds for FY 2008 is \$739,991.10 (up to March 30, 2009)
- Actual number of youth who received ETV vouchers in FY 2007 was 205.
- Number of youth who received ETV vouchers in FY 2008 as of April 8, 2009 is 276 plus 30 incomplete applications.

- Estimated number of youth the State plans to award ETV vouchers to in FY 2009 is 290.

Payment Limitations - Title IV-B Subpart 1

- Washington State expenditures of Title IV-B subpart 1 funds in FFY 2005 for child care, foster care maintenance, and adoption assistance payments was \$0 and we will not be expending any of these funds in these areas in FFY 2010.
- The amount of non-federal funds expended by Washington State for foster care maintenance payments in FY 2005 was \$37,899,645.

Non-Supplantation Requirement - Title IV-B Subpart 2

- The 1992 base year amount was \$24.257M.
- The state and local share expenditure amounts for IV-B subpart 2 for FY 2007 was \$24.257M.



Section B: Financial Information

(4) Certifications & Assurances

Title IV-B, Subpart 1 Assurances

Title IV-B, Subpart 2 Assurances

Child Abuse and Neglect Prevention and Treatment State Plan Assurances

Title IV-E Section 477 Certification

Certification for the Education and Training Voucher Program and Chafee Foster Care Independence Program

**Children's Administration
Department of Social and Health Services**

**Child and Family Services Plan FY 2010 - FY 2014
Certifications & Assurances**

Below are the **unsigned** Certifications and Assurances documents, as listed:

- **Title IV-B, subpart 1 Assurances**
- **Title IV-B, subpart 2 Assurances**
- **Child Abuse and Neglect Prevention and Treatment State Plan Assurances**
- **State Chief Executive Officer's Assurance Statement for The Child Abuse and Neglect State Plan**
- **Title IV-E, Section 477 Certifications: Certifications for the Chafee Foster Care Independence Program**
- **State Chief Executive Officer's Certification for the Education and Training Voucher Program and Chafee Foster Care Independence Program**

Electronic access to the signed Certifications and Assurances forms by Governor Gregoire and the Interim Assistant Secretary of the Children's Administration, Randy Hart, is available in the PDF file attachment.

Title IV-B, subpart 1 Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 1, sections 422(b)(8), 422(b)(10), and 422 (b)(14) of the Social Security Act (Act). These assurances will remain in effect during the period of the current five-year Child and Family Services Plan (CFSP).

1. The State assures that it is operating, to the satisfaction of the Secretary:
 - a. A statewide information system from which can be readily determined the status, demographic characteristics, location, and goals for the placement of every child who is (or, within the immediately preceding 12 months, has been) in foster care;
 - b. A case review system (as defined in section 475(5) of the Act) for each child receiving foster care under the supervision of the State;
 - c. A service program designed to help children:
 - i. Where safe and appropriate, return to families from which they have been removed; or
 - ii. Be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be appropriate for a child, in some other planned, permanent living arrangement which may include a residential educational program; and
 - d. A preplacement preventative services program designed to help children at risk of foster care placement remain safely with their families.
2. The State assures that it has in effect policies and administrative and judicial procedures for children abandoned at or shortly after birth (including policies and procedures providing for legal representation of the children) which enable permanent decisions to be made expeditiously with respect to the placement of the children.
3. The State assures that it shall make effective use of cross-jurisdictional resources (including through contracts for the purchase of services), and shall eliminate legal barriers, to facilitate timely adoptive or permanent placements for waiting children.
4. The State assures that not more than 10 percent of the expenditures of the State with respect to activities funded from amounts provided under this subpart will be for administrative costs.
5. The State assures that it will participate in any evaluations the Secretary of HHS may require.
6. The State assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient.

Effective Date and Official Signature

I hereby certify that the State complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Title IV-B, subpart 2 Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 2, sections 432(a)(2)(C), 432(a)(4), 432 (a)(5), 432(a)(7) and 432(a)(9) of the Social Security Act (Act). These assurances will remain in effect during the period of the current five-year CFSP.

1. The State assures that after the end of each of the 1st 4 fiscal years covered by a set of goals, it will perform an interim review of progress toward accomplishment of the goals, and on the basis of the interim review will revise the statement of goals in the plan, if necessary, to reflect changed circumstances.
2. The State assures that after the end of the last fiscal year covered by a set of goals, it will perform a final review of progress toward accomplishments of the goals, and on the basis of the final review:
 - a. Will prepare, transmit to the Secretary, and make available to the public a final report on progress toward accomplishment of the goals; and
 - b. Will develop (in consultation with the entities required to be consulted pursuant to subsection 432(b)) and add to the plan a statement of the goals intended to be accomplished by the end of the 5th succeeding fiscal year.
3. The State assures that it will annually prepare, furnish to the Secretary, and make available to the public a description (including separate descriptions with respect to family preservation services, community-based family support services, time-limited family reunification services, and adoption promotion and support services) of:
 - a. The service programs to be made available under the plan in the immediately succeeding fiscal year;
 - b. The populations which the programs will serve; and
 - c. The geographic areas in the State in which the services will be available.
4. The State assures that it will perform the annual activities in the 432(a)(5)(A) in the first fiscal year under the plan, at the time the State submits its initial plan, and in each succeeding fiscal year, by the end of the third quarter of the immediately preceding fiscal year.
5. The State assures that Federal funds provided under subpart 2 will not be used to supplant Federal or non-Federal funds for existing services and activities which promote the purposes of subpart 2.
6. The State will furnish reports to the Secretary, at such times, in such format, and containing such information as the Secretary may require, that demonstrate the State's/Tribe's compliance with the prohibition contained in 432(a)(7)(A) of the Act.
7. The State assures that in administering and conducting service programs under the subpart 2 plan, the safety of the children to be served shall be of paramount concern.
8. The State assures that it will participate in any evaluations the Secretary of HHS may require.

9. The State assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient.

STATE ONLY:

10. The State assures that not more than 10 percent of expenditures under the plan for any fiscal year with respect to which the State is eligible for payment under section 434 of the Act for the fiscal year shall be for administrative costs, and that the remaining expenditures shall be for programs of family preservation services, community based support services, time limited family reunification services, and adoption promotion and support services, with significant portions of such expenditures for each such program.

Effective Date and Official Signature

I hereby certify that the State complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Child Abuse and Neglect Prevention and Treatment State Plan Assurances

State Chief Executive Officer's Assurance Statement for The Child Abuse and Neglect State Plan

As Chief Executive Officer of the State of Washington, I certify that the State has in effect and is enforcing a State law, or has in effect and is operating a Statewide program, relating to child abuse and neglect which includes:

1. provisions or procedures for reporting known or suspected instances of child abuse and neglect (section 106(b)(2)(A)(i) of the Child Abuse Prevention and Treatment Act (CAPTA), as amended);
2. policies and procedures (including appropriate referrals to child protection service systems and for other appropriate services) to address the needs of infants born and identified as affected by illegal substance abuse or withdrawal symptoms resulting from prenatal drug exposure, including a requirement that health care providers involved in the delivery or care of such infants notify the child protective services system of the occurrence of such condition in such infants (section 106(b)(2)(A)(ii) of CAPTA);
3. the development of a plan of safe care for the infant born and identified as being affected by illegal substance abuse or withdrawal symptoms (section 106(b)(2)(A)(iii) of CAPTA);
4. procedures for the immediate screening, risk and safety assessment, and prompt investigation of such reports (section 106(b)(2)(A)(iv) of CAPTA);
5. triage procedures for the appropriate referral of a child not at risk of imminent harm to a community organization or voluntary preventive service (section 106(b)(2)(A)(v) of CAPTA);
6. procedures for immediate steps to be taken to ensure and protect the safety of the abused or neglected child, and of any other child under the same care who may also be in danger of abuse or neglect; and ensuring their placement in a safe environment (section 106(b)(2)(A)(vi) of CAPTA);
7. provisions for immunity from prosecution under State and local laws and regulations for individuals making good faith reports of suspected or known instances of child abuse or neglect (section 106(b)(2)(A)(vii) of CAPTA);
8. methods to preserve the confidentiality of all records in order to protect the rights of the child and of the child's parents or guardians, including requirements ensuring that reports and records made and maintained pursuant to the purposes of CAPTA shall only be made available to--
 - a. individuals who are the subject of the report;
 - b. Federal, State, or local government entities, or any agent of such entities, as described in number 9 below;
 - c. child abuse citizen review panels;
 - d. child fatality review panels;
 - e. a grand jury or court, upon a finding that information in the record is necessary for the determination of an issue before the court or grand jury; and
 - f. other entities or classes of individuals statutorily authorized by the State to receive such information pursuant to a legitimate State purpose (section 106(b)(2)(A)(viii) of CAPTA);
9. provisions to require a State to disclose confidential information to any Federal, State, or local government entity, or any agent of such entity, that has a need for such information in order to carry out its responsibility under law to protect children from abuse and neglect (section 106(b)(2)(A)(ix) of CAPTA);
10. provisions which allow for public disclosure of the findings or information about the case of child abuse or neglect which has resulted in a child fatality or near fatality (section 106(b)(2)(A)(x) of CAPTA);

11. the cooperation of State law enforcement officials, court of competent jurisdiction, and appropriate State agencies providing human services in the investigation, assessment, prosecution, and treatment of child abuse or neglect (section 106(b)(2)(A)(xi) of CAPTA);
12. provisions requiring, and procedures in place that facilitate the prompt expungement of any records that are accessible to the general public or are used for purposes of employment or other background checks in cases determined to be unsubstantiated or false, except that nothing in this section shall prevent State child protective services agencies from keeping information on unsubstantiated reports in their casework files to assist in future risk and safety assessment (section 106(b)(2)(A)(xii) of CAPTA);
13. provisions and procedures requiring that in every case involving an abused or neglected child which results in a judicial proceeding, a guardian ad litem, who has received training appropriate to the role, and who may be an attorney or a court appointed special advocate who has received training appropriate to that role (or both), shall be appointed to represent the child in such proceedings-
 - a. to obtain firsthand, a clear understanding of the situation and needs of the child; and
 - b. to make recommendations to the court concerning the best interests of the child (section 106(b)(2)(A)(xiii) of CAPTA);
14. the establishment of citizen review panels in accordance with subsection 106(c) (section 106(b)(2)(A)(xiv) of CAPTA);
15. provisions, procedures, and mechanisms -
 - a. for the expedited termination of parental rights in the case of any infant determined to be abandoned under State law; and
 - b. by which individuals who disagree with an official finding of abuse or neglect can appeal such finding (section 106(b)(2)(A)(xv) of CAPTA);
16. provisions, procedures, and mechanisms that assure that the State does not require reunification of a surviving child with a parent who has been found by a court of competent jurisdiction--
 - a. to have committed a murder (which would have been an offense under section 1111(a) of title 18, United States Code, if the offense had occurred in the special maritime or territorial jurisdiction of the United States) of another child of such parent;
 - b. to have committed voluntary manslaughter (which would have been an offense under section 1112(a) of title 18, United States Code, if the offense had occurred in the special maritime or territorial jurisdiction of the United States) of another child of such parent;
 - c. to have aided or abetted, attempted, conspired, or solicited to commit such murder or voluntary manslaughter; or
 - d. to have committed a felony assault that results in the serious bodily injury to the surviving child or another child of such parent (section 106(b)(2)(A)(xvi) of CAPTA);
17. provisions that assure that, upon the implementation by the State of the provisions, procedures, and mechanisms under number 16 above, conviction of any one of the felonies listed in number 16 above constitute grounds under State law for the termination of parental rights of the convicted parent as to the surviving children (section 106(b)(2)(A)(xvii) of CAPTA);
18. provisions and procedures to require that a representative of the child protective services agency shall, at the initial time of contact with the individual subject to a child abuse and neglect investigation, advise the individual of the complaints or allegations made against the individual, in a manner that is consistent with laws protecting the rights of the reporter (section 106(b)(2)(A)(xviii) of CAPTA);
19. provisions addressing the training of representatives of the child protective services system regarding the legal duties of the representatives, which may consist of various methods of informing such representatives of such duties, in order to protect the legal rights and safety of

- children and families from the initial time of contact during investigation through treatment (section 106(b)(2)(A)(xix) of CAPTA);
20. provisions and procedures for improving the training, retention and supervision of caseworkers (section 106(b)(2)(A)(xx) of CAPTA);
 21. provisions and procedures for referral of a child under the age of 3 who is involved in a substantiated case of child abuse or neglect to early intervention services funded under part C of the Individuals with Disabilities Education Act (section 106(b)(2)(A)(xxi) of CAPTA);
 22. provisions and procedures for requiring criminal background checks for prospective foster and adoptive parents and other adult relatives and non-relatives residing in the household (section 106(b)(2)(A)(xxii) of CAPTA);
 23. procedures for responding to the reporting of medical neglect (including instances of withholding of medically indicated treatment from disabled infants with life-threatening conditions), procedures or programs, or both (within the State child protective services system), to provide for-
 - a. coordination and consultation with individuals designated by and within appropriate health care facilities;
 - b. prompt notification by individuals designated by and within appropriate health-care facilities of cases of suspected medical neglect (including instances of withholding of medically indicated treatment from disabled infants with life-threatening conditions); and
 - c. authority, under State law, for the State child protective services system to pursue any legal remedies, including the authority to initiate legal proceedings in a court of competent jurisdiction, as may be necessary to prevent the withholding of medically indicated treatment from disabled infants with life-threatening conditions (section 106(b)(2)(B) of CAPTA);
 24. an assurance that the programs or projects relating to child abuse and neglect carried out under part B of title IV of the Social Security Act comply with the requirements in 106(b)(1) and (2) of CAPTA; and
 25. authority under State law to permit the child protective services system of the State to pursue any legal remedies, including the authority to initiate legal proceedings in a court of competent jurisdiction, to provide medical care or treatment for a child when such care or treatment is necessary to prevent or remedy serious harm to the child, or to prevent the withholding of medically indicated treatments from disabled infants with life-threatening conditions (section 113 of CAPTA).

Signature of Chief Executive Officer:

Date: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Title IV-E, Section 477 Certifications

Certifications for the Chafee Foster Care Independence Program

As Chief Executive Officer of the State of Washington, I certify that the State has in effect and is operating a Statewide or areawide program pursuant to section 477(b) or (j)(2) relating to Foster Care Independent Living and that the following provisions to effectively implement the Chafee Foster Care Independence Program are in place:

1. The State will provide assistance and services to youth who have left foster care because they have attained 18 years of age, and have not attained 21 years of age [Section 477(b)(3)(A)];
2. Not more than 30 percent of the amounts paid to the State from its allotment for a fiscal year will be expended for room and board for youth who have left foster care because they have attained 18 years of age, and have not attained 21 years of age [Section 477(b)(3)(B)];
3. None of the amounts paid to the State from its allotment will be expended for room or board for any child who has not attained 18 years of age [Section 477(b)(3)(C)];
4. The State will use training funds provided under the program of Federal payments for foster care and adoption assistance to provide training to help foster parents, adoptive parents, workers in group homes, and case managers understand and address the issues confronting adolescents preparing for independent living, and will, to the extent possible, coordinate such training with the independent living program conducted for adolescents [Section 477(b)(3)(D)];
5. The State will adequately prepare prospective foster parents with the appropriate knowledge and skills to provide for the needs of the child before a child, under the supervision of the State, is placed with prospective foster parents and that such preparation will be continued, as necessary, after the placement of the child. [Section 471(a), as amended];
6. The State has consulted widely with public and private organizations in developing the plan and has given all interested members of the public at least 30 days to submit comments on the plan [Section 477(b)(3)(E)];
7. The State will make every effort to coordinate the State/Tribal programs receiving funds provided from an allotment made to the State with other Federal, State and Tribal programs for youth (especially transitional living youth projects funded under part B of title III of the Juvenile Justice and Delinquency Prevention Act of 1974); abstinence education programs, local housing programs, programs for disabled youth (especially sheltered workshops), and school-to-work programs offered by high schools or local workforce agencies [Section 477(b)(3)(F)];
8. Adolescents participating in the program under this section will participate directly in designing their own program activities that prepare them for independent living and the adolescents will be required to accept personal responsibility for living up to their part of the program [Section 477(b)(3)(H)]; and
9. The State has established and will enforce standards and procedures to prevent fraud and abuse in the programs carried out under the plan [Section 477(b)(3)(I)].

STATE ONLY:

10. The State has consulted each Tribe in the State about the programs to be carried out under the plan; there have been efforts to coordinate the programs with such Tribes; and benefits and services under the programs will be made available to Indian youth in the State on the same basis as to other youth in the State; and that the State negotiates in good faith with any Indian tribe, tribal organization, or tribal consortium in the State that does not receive an allotment under 477(j)(4) for a fiscal year and that requests to develop an agreement with the State to administer,

supervise, or oversee the programs to be carried out under the plan with respect to the Indian children who are eligible for such programs and who are under the authority of the tribe, organization, or consortium and to receive from the State an appropriated portion of the State allotment for the cost of such administration, supervision or oversight [Section 477(b)(3)(G)];

Signature of Chief Executive Officer

Date

**State Chief Executive Officer's Certification
for the
Education and Training Voucher Program
Chafee Foster Care Independence Program**

As Chief Executive Officer of the State of Washington, I certify that the State has in effect and is operating a Statewide program relating to Foster Care Independent Living:

1. The State will comply with the conditions specified in subsection 477(i).
2. The State has described methods it will use to:
 - ensure that the total amount of educational assistance to a youth under this and any other Federal assistance program does not exceed the total cost of attendance; and
 - avoid duplication of benefits under this and any other Federal assistance program, as defined in section 477(b)(3)(J).

Signature of Chief Executive Officer

Date