PROPOSED RULE MAKING

CR-102 (December 2017)  
(Implements RCW 34.05.320)
Do NOT use for expedited rule making

Agency:  Department of Social and Health Services, Aging and Long-Term Support Administration  
☐ Original Notice  
☐ Supplemental Notice to WSR ____  
☐ Continuance of WSR ____  
☐ Preproposal Statement of Inquiry was filed as WSR 19-03-148; or  
☐ Expedited Rule Making—Proposed notice was filed as WSR ____ ; or  
☐ Proposal is exempt under RCW 34.05.310(4) or 34.05.330(1); or  
☐ Proposal is exempt under RCW ____.


The department also proposes to create section WAC 388-76-10616 “Resident rights—Transfer and discharge notice” and repeal WAC 388-76-10520 “Resident rights—General notice”, WAC 388-76-10555 “Resident rights—Financial affairs”, WAC 388-76-10565 “Resident rights—Adult family home system for management of resident financial affairs”, and WAC 388-76-10835 “Elements of an emergency and disaster plan”.

Hearing location(s):

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<th>Date</th>
<th>Time</th>
<th>Location (be specific)</th>
<th>Comment</th>
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<td>DSHS Headquarters</td>
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<td>1115 Washington</td>
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<td>Olympia, WA 98504</td>
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Date of intended adoption: Not earlier than February 5, 2020. (Note: This is NOT the effective date)

Submit written comments to:

Name: DSHS Rules Coordinator  
Address: PO Box 45850  
Olympia, WA 98504
Purpose of the proposal and its anticipated effects, including any changes in existing rules: The purpose of this proposal is to update rules that are obsolete or require clarification. The department worked with internal and external stakeholders to identify rules that have been in place for a number of years and that are ambiguous or difficult to implement or regulate. We sought to clarify areas where a rule may have multiple interpretations. Some of the rules are being updated to reflect new or improved technology. Other rule changes are intended to improve resident safety. Because some of the regulations in the section outlining resident rights are closely related to the federal regulations under the Home and Community Based Settings program, we adapted the language to a closer alignment with those requirements. Similarly, adult family homes must meet the requirements of this chapter and the building code, so some of the changes here incorporate parts of the International Residential Code as adopted by Washington state into this chapter for easier use.

Reasons supporting proposal: The goal of this proposal is to update these rules to ensure improved compliance by adult family homes and improved resident safety and quality of life. The expected outcome is improved ease of compliance, both due to addressing known barriers and increasing the clarity of the regulations. The proposal will also improve resident safety, rights, and quality of life due to recognizing and strengthening the regulations that oversee the residents in these homes. We solicited feedback on the proposal from internal and external stakeholders and incorporated the suggestions received wherever possible.

Statutory authority for adoption: RCW 70.128.040, RCW 70.128.060

Statute being implemented: None

Is rule necessary because of a:
- Federal Law? ☐ Yes ☒ No
- Federal Court Decision? ☐ Yes ☒ No
- State Court Decision? ☐ Yes ☒ No

If yes, CITATION:

Agency comments or recommendations, if any, as to statutory language, implementation, enforcement, and fiscal matters: None

Name of proponent: (person or organization) Department of Social and Health Services ☐ Private ☐ Public ☒ Governmental

Name of agency personnel responsible for:

<table>
<thead>
<tr>
<th>Name</th>
<th>Office Location</th>
<th>Phone</th>
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<tbody>
<tr>
<td>Drafting:</td>
<td>Libby Wagner</td>
<td>20425 72nd Avenue S, Kent, WA 98032</td>
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<tr>
<td>Implementation:</td>
<td>Libby Wagner</td>
<td>20425 72nd Avenue S, Kent, WA 98032</td>
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<tr>
<td>Enforcement:</td>
<td>Libby Wagner</td>
<td>20425 72nd Avenue S, Kent, WA 98032</td>
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Is a school district fiscal impact statement required under RCW 28A.305.135? ☐ Yes ☒ No

If yes, insert statement here:
The public may obtain a copy of the school district fiscal impact statement by contacting:

Name:
Address:
Phone:
Fax:
TTY:
Email:
Other:

Is a cost-benefit analysis required under RCW 34.05.328?
☒ Yes: A preliminary cost-benefit analysis may be obtained by contacting:
   Name: Libby Wagner
   Address: 20425 72nd Avenue S, Kent, WA 98032
   Phone: 253-234-6061
   Fax: 253-395-5073
   TTY:
   Email: wagnee@dshs.wa.gov
   Other:
☐ No: Please explain:

Regulatory Fairness Act Cost Considerations for a Small Business Economic Impact Statement:

This rule proposal, or portions of the proposal, may be exempt from requirements of the Regulatory Fairness Act (see chapter 19.85 RCW). Please check the box for any applicable exemption(s):

☐ This rule proposal, or portions of the proposal, is exempt under RCW 19.85.061 because this rule making is being adopted solely to conform and/or comply with federal statute or regulations. Please cite the specific federal statute or regulation this rule is being adopted to conform or comply with, and describe the consequences to the state if the rule is not adopted.

Citation and description:

☐ This rule proposal, or portions of the proposal, is exempt because the agency has completed the pilot rule process defined by RCW 34.05.313 before filing the notice of this proposed rule.

☐ This rule proposal, or portions of the proposal, is exempt under the provisions of RCW 15.65.570(2) because it was adopted by a referendum.

☐ This rule proposal, or portions of the proposal, is exempt under RCW 19.85.025(3). Check all that apply:

☐ RCW 34.05.310 (4)(b) (Internal government operations)
☐ RCW 34.05.310 (4)(c) (Incorporation by reference)
☐ RCW 34.05.310 (4)(d) (Correct or clarify language)
☐ RCW 34.05.310 (4)(e) (Dictated by statute)
☐ RCW 34.05.310 (4)(f) (Set or adjust fees)
☐ RCW 34.05.310 (4)(g) (i) Relating to agency hearings; or (ii) process requirements for applying to an agency for a license or permit)

☐ This rule proposal, or portions of the proposal, is exempt under RCW ______.

Explanation of exemptions, if necessary:

COMPLETE THIS SECTION ONLY IF NO EXEMPTION APPLIES

If the proposed rule is not exempt, does it impose more-than-minor costs (as defined by RCW 19.85.020(2)) on businesses?

☒ No   Briefly summarize the agency’s analysis showing how costs were calculated. The costs associated with this proposal are mainly administrative or update the physical plant. We determined that these costs will be minor, optional, or rare, and do not impose more than minor costs to the regulated businesses.

☐ Yes Calculations show the rule proposal likely imposes more-than-minor cost to businesses, and a small business economic impact statement is required. Insert statement here:

The public may obtain a copy of the small business economic impact statement or the detailed cost calculations by contacting:

Name: Libby Wagner
<table>
<thead>
<tr>
<th>Date:</th>
<th>December 16, 2019</th>
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<tbody>
<tr>
<td>Name:</td>
<td>Katherine I. Vasquez</td>
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<tr>
<td>Title:</td>
<td>DSHS Rules Coordinator</td>
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<tr>
<td>Signature:</td>
<td>[Signature]</td>
</tr>
</tbody>
</table>

Address: 20425 72nd Avenue S, Kent, WA 98032
Phone: 253-234-6061
Fax: 253-395-5073
TTY:
Email: wagnee@dshs.wa.gov
Other:
WAC 388-76-10510  Resident rights—Basic rights.  The adult family home must ensure that each resident:
(1) Receives appropriate necessary services, as identified in the assessment and negotiated care plan;
(2) Is treated with courtesy, dignity, and respect;
(3) Continues to enjoy basic civil and legal rights;
(4) Has the opportunity to exercise control over life decisions, such as making the resident's own choices about daily life, participation in services or activities, care, and privacy;
(5) Has the opportunity to engage in religious, political, civic, recreational, and other social activities of their choice;
(6) Is cared for in a manner that promotes maintenance or enhancement of each resident's quality of life;
(7) Is cared for in an environment that is safe, clean, comfortable, and homelike; and
(8) Has the freedom to have and use their personal belongings to the extent possible.

WAC 388-76-10515  Resident rights—Exercise of rights.  The adult family home must:
(1) Protect each resident's right to a dignified existence, self-determination, and communication with and access to persons and services inside and outside the home;
(2) Protect and promote the rights of each resident and assist the resident to exercise the rights of a resident of the home, as a citizen or resident of the United States and the state of Washington;
(3) Be free of interference, coercion, discrimination, and retaliation from the home in exercising the resident's rights; and
(4) Ensure the resident's right to choose a representative who may exercise the resident's rights to the extent provided by law.

WAC 388-76-10522  Resident rights—Notice—Policy on accepting medicaid as a payment source.  The adult family home must fully disclose the home's policy on accepting medicaid or other public funds as a payment source. The policy must:
(1) Clearly state the circumstances under which the adult family home provides care for medicaid eligible residents and for residents who become eligible for medicaid after admission;
(2) Be provided both orally and in writing in a language (that) the resident understands;
(3) Be provided to all prospective residents, before (they are admitted) admission to the home;
(4) Be provided to any current residents who were admitted before this requirement took effect or who did not receive copies prior to admission;
(5) Be a written (on a page) document that is separate from other documents and (be written in) use a type font that is at least fourteen point; and
(6) Be signed and dated by the resident and (be) kept in the resident record after signature.

AMENDATORY SECTION (Amending WSR 15-03-037, filed 1/12/15, effective 2/12/15)

WAC 388-76-10525 Resident rights—(Description) Postings. The adult family home must (give each resident a written description of resident's rights that includes a) post the following in a common use area where they can be easily viewed by anyone in the home, including residents, resident representatives, the department, and visitors:
(1) (Description of how the home will protect personal funds)) The name, address, and telephone number for the home's regional residential care services licensing office;
(2) (Posting of names, addresses, and telephone numbers of the: 
(a) State survey and certification agency;
(b) State licensing office;
(c) State ombuds program; and
(d) Protection and advocacy systems.) The department's poster that includes the complaint resolution unit hotline and the telephone number for the state ombuds program; and
(3) (Statement informing the resident that he or she may file a complaint with the appropriate state licensing agency concerning alleged abandonment, abuse, neglect, or financial exploitation) The poster from the agency designated as the protection and advocacy system for residents with disabilities.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10530 Resident rights—Notice of rights and services. (1) The adult family home must provide each resident (notice in writing) written notice of the resident's rights and services provided in the home in a language the resident understands and before (admission, and) the resident is admitted to the home. The notice must be reviewed at least once every twenty-four months (after admission of)
from the date of the resident's admission and must include the follow-
ing:

((1)) (a) Information regarding resident rights, including
rights under chapter 70.129 RCW;
(b) A complete description of the services, items, and activities
customarily available in the home or arranged for by the home as per-
mitted by the license;
((2)) (c) A complete description of the charges for those serv-
ices, items, and activities, including charges for services, items,
and activities not covered by the home's per diem rate or applicable
public benefit programs; ((and
(3))) (d) The monthly or per diem rate charged to private pay
residents to live in the home;
(e) Rules of the ((home's operations)) home, which must not vio-
late resident rights in chapter 70.129 RCW;
(f) How the resident can file a complaint concerning alleged
abandonment, abuse, neglect, or financial exploitation with the state
hotline; and
(g) If the home will be managing the resident's funds, a descrip-
tion of how the home will protect the resident's funds.
(2) Upon receiving the notice of rights and services at admission
and at least every twenty-four months, the home must ensure the resi-
dent and a representative of the home sign and date an acknowledgement
stating that the resident has received the notice of rights and serv-
ces as outlined in this section. The home must retain a signed and
dated copy of both the notice of rights and services and the acknowl-
edgement in the resident's record.

AMENDATORY SECTION (Amending WSR 15-03-037, filed 1/12/15, effective
2/12/15)

WAC 388-76-10532 Resident rights—Department standardized dis-
closure ((of services form)) forms. (1) The adult family home is re-
quired to complete the department's standardized disclosure of serv-
ces form.
((1)) The home must:
(a) List on the form the scope of care and services available in
the home;
(b) Send the completed form to the department when applying for a
license; and
(c) Provide an updated form to the department thirty days prior
to changing services, except in emergencies, when the scope of care
and services is changing.
(2) The ((form does not:
(a) Replace the notice of services required when a resident is
admitted to the adult family home as directed in chapter 388-76-10530
WAC;
(b) Replace any other form or policy as required in chapter
388-76 WAC)) adult family home must complete the disclosure of charges
form as provided by the department. The home must:
(a) Provide a copy to each resident prior to or upon admission to
the home;
(b) Provide a copy upon resident request;
(c) Keep a copy in each resident's record;
(d) Ensure the resident and a representative of the home sign and date an acknowledgement stating that the resident has received the disclosure of charges form and keep a copy of the disclosure and the acknowledgement in the resident's record.
(3) These forms do not replace the notice of services required when a resident is admitted to the adult family home as directed in WAC 388-76-10530.

**AMENDATORY SECTION** (Amending WSR 16-20-095, filed 10/4/16, effective 11/4/16)

**WAC 388-76-10540 Resident rights—Disclosure of ((fees-and)) charges—Notice requirements—Deposits.**

(1) ((The adult family home must complete the department's disclosure of charges form and provide a copy to each resident admitted to the home. ))

(2) If the adult family home requires an admission fee, deposit, prepaid charges, or any other fees or charges, by or on behalf of a person seeking admission, the home must ((give the resident full)) include this information on the disclosure of charges form in writing in a language the resident understands prior to its receipt of any funds.

((3))) (2) The disclosure must include:

(a) A statement of the amount of any admissions fees, security deposits, prepaid charges, minimum stay fees, or any other fees or charges specifying what the funds are paid for and the basis for retaining any portion of the funds if the resident dies, is hospitalized, transferred, or discharged from the home;

(b) The home's advance notice or transfer requirements; and

(c) The amount of the security deposits, admission fees, prepaid charges, minimum stay fees, or any other fees or charges that the home will refund to the resident if the resident leaves the home.

((4)) The home must ensure that the resident and home sign and date an acknowledgement in writing stating that the resident has received a disclosure required under subsection (2) of this section. The home must retain a copy of the disclosure and acknowledgement.

((5))) (3) If the home does not provide the disclosures in subsection ((3))) (1) of this section to the resident, the home must not keep the resident's security deposits, admission fees, prepaid charges, minimum stay fees, or any other fees or charges.

((6))) (4) If a resident dies, is hospitalized, or is transferred to another facility for more appropriate care and does not return to the home, the adult family home:

(a) Must refund any deposit or charges paid by the resident less the home's per diem rate for the days the resident actually resided, reserved, or retained a bed in the home regardless of any minimum stay policy or discharge notice requirements;

(b) May keep an additional amount to cover its reasonable and actual expenses incurred as a result of a private-pay resident's move, not to exceed five days per diem charges, unless the resident has given advance notice in compliance with the home's admission agreement; and
(c) Must not require the resident to obtain a refund from a placement agency or person.

((47)) (5) The adult family home must not retain funds for reasonable wear and tear by the resident or for any basis that would violate RCW 70.129.150.

((48)) (6) The adult family home must provide the resident with any and all refunds due ((to him or her)) within thirty days from the resident's date of discharge from the home.

((49)) (7) Nothing in this section applies to provisions in contracts negotiated between a home and a certified health plan, health or disability insurer, health maintenance organization, managed care organization, or similar entities.

((50)) (8) The home must ensure that any resident admission agreement is consistent with the requirements of this section, chapters 70.128, 70.129, and 74.34 RCW, and other applicable state and federal laws.

WAC 388-76-10545 Resident rights—Admitting and keeping residents. The adult family home must:

1. Only admit or keep individuals whose needs the home can safely serve in the home) meet:
   a. With (appropriate) qualified available staff; and
   b. Through the provision of reasonable accommodations required by state and federal law.

2. Not admit an individual before obtaining a thorough assessment of the individual's needs and preferences, except in cases of a genuine emergency;

3. Ensure that the admission of the individual does not negatively affect the ability of the home to meet the needs of or endangers the safety of other residents; and

4. Comply with all applicable federal and state requirements regarding nondiscrimination.

WAC 388-76-10550 Resident rights—Adult family home staffing—Notification required. The adult family home must provide the following information in writing to prospective residents before admission and current residents who were admitted before this requirement took effect:

1. Information about the provider, entity representative, and resident manager, (if there is a resident manager) including:
   a. Availability in the home, including a general statement about how often (he or she is) are in the home;
   b. Education and training relevant to resident caregiving;
   c. Caregiving experience;
His or her primary responsibilities, including whether he or she makes daily general care management decisions; and

(e) How to contact the provider, entity representative (or), and resident manager when (he or she is) not in the home.

(2) Information about a licensed practical nurse or registered nurse, if there is one, who is in any way involved in the care of residents, including:

(a) Who the licensed practical nurse or registered nurse is employed by;

(b) The specific routine hours that the licensed practical nurse or registered nurse is on-site, if they are on-site routinely;

(c) (His or her) primary responsibilities, including whether he or she makes daily general care management decisions;

(d) The nonroutine times when the licensed practical nurse or registered nurse will be available, such as on-call; and

(e) A description of what the provider or entity representative will do to make (available) the services of a licensed nurse available in an emergency or change in a resident's condition.

(3) A statement indicating whether the provider, caregiver or staff is qualified or willing to become qualified to perform nurse delegation as allowed under state law.

(4) A statement indicating if someone other than the provider makes decision(s) regarding management of residents' daily care.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10560 Resident rights—Adult family home management of resident financial affairs. (1) Each resident has the right to manage their own financial affairs. The adult family home must not require any resident to deposit their personal funds with the home.

(2) If the adult family home agrees to manage a resident's personal funds, the home must (do all of the following):

(((1))) (a) Hold, safeguard, manage, and account for the personal funds of the resident deposited with the home;

(((2))) (b) Have a written authorization from the resident;

(((3))) (c) Develop and maintain a system that assures a full, complete, and separate accounting of each resident's personal funds given to the home on the resident's behalf;

((c)) (d) Ensure that the resident's funds are not mixed with the home's funds or with the funds of any person other than another resident. If funds are pooled accounts, there must be a separate accounting for each resident's share;

(((4))) (e) Deposit a resident's personal funds in excess of one hundred dollars in an interest-bearing (account or accounts) and that credits all interest earned on residents' funds to that account;

(((5))) (f) If funds are pooled accounts, there must be a separate accounting for each resident's share; and)

(e) Ensure that the account or accounts are held in a financial institution as defined in RCW 30A.22.040, and notify each resident in writing of the name, address, and location of the depository.
Keep a resident's personal funds that do not exceed one hundred dollars in a noninterest-bearing account, interest-bearing account, or petty cash fund; and

Provide an individual financial record when requested by the resident or the resident's legal representative.

**AMENDATORY SECTION** (Amending WSR 12-01-004, filed 12/7/11, effective 1/7/12)

**WAC 388-76-10561 Resident rights—Resident security deposit account.**

(1) Funds in excess of one hundred dollars that are paid to an adult family home as a security deposit or as prepayment for charges beyond the first month's residency must be deposited by the adult family home in an interest bearing account that is separate from any of the home's operating accounts and that credits all interest earned on the resident's funds to that account.

(2) The adult family home must:

(a) Provide a record of the account when requested by the resident, the resident's representative, or the department;

(b) Ensure the resident's funds are not mixed with the home's funds or with the funds of any person other than another resident. If an account pools resident funds, the home must provide each resident with a separate accounting for each resident's share;

(c) Ensure that the account(s) are held and remain until a resident refund occurs, in a financial institution as defined in RCW 30.22.041, 30.22.040; and

(d) Notify the resident in writing of the name, address, and location of the depository.

**AMENDATORY SECTION** (Amending WSR 10-14-058, filed 6/30/10, effective 7/31/10)

**WAC 388-76-10585 Resident rights—Examination of inspection results.**

(1) The adult family home must place a copy of the following documents in a common use area where they can be easily viewed by residents, resident representatives, the department and anyone interested without having to ask for them:

(a) The most recent inspection report, any related follow-up reports, and related cover letters; and

(b) All complaint investigation reports, any related follow-up reports, and any related cover letters received since the most recent inspection or within the last twelve months, whichever is longer.
(2) The adult family home must post a notice that the following documents are available for review if requested by the residents, resident representatives, the department, and anyone interested:

(a) A copy of each inspection report and related cover letter received during the past three years; and

(b) A copy of any complaint investigation reports and related cover letters received during the past three years.

AMENDATORY SECTION (Amending WSR 15-03-037, filed 1/12/15, effective 2/12/15)

WAC 388-76-10595 Resident rights—Advocacy access and visitation rights. The adult family home must not interfere with each resident's right to have access to and from:

1. Any representative of the state;
2. The resident's own physician;
3. The state long-term care ombuds programs under chapter 43.190 RCW;
4. The agency responsible for the protection and advocacy system for the following:
   a. Individuals with developmental disabilities as established under Part C of the Developmental Disabilities Assistance and Bill of Rights Act;
   b. Individuals with mental illness as established under the Protection and Advocacy for Mentally Ill Individuals Act;
   c. Individuals with disabilities as established under section 509 of the Rehabilitation Act of 1973, as amended, who are not served under the mandates of existing protection and advocacy systems created under federal law;
   d. The resident's representative or an entity or individual that provides health, social, legal, or other services to the resident, subject to the resident's right to deny or withdraw consent at any time.

5. Visitors who are visiting the resident with the resident's consent, which:
   a. The resident may withdraw at any time; and
   b. May only be limited when the limitation is to protect the rights or safety of the residents or others, and to the resident's right to deny or withdraw consent at any time.

7. The agency responsible for the protection and advocacy system for individuals with disabilities as established under section 509 of the Rehabilitation Act of 1973, as amended, who are not served under the mandates of existing protection and advocacy systems created under federal law, in the home and must be documented under WAC 388-76-10401; and

8. Immediate family or other relatives of the resident.
WAC 388-76-10600  Resident rights—Mail and telephone privacy. The adult family home must ensure each resident's right to privacy in communications, including the right to:

1. Send and receive unopened mail without delay;
2. Have writing paper, postage, and pens or pencils available that have been paid for by the resident; and
3. (Be able to use a telephone where calls can be made without being overheard) Have twenty-four hour per day access to a telephone to make and receive confidential calls.

WAC 388-76-10605  Resident rights—Personal property and storage space. The adult family home must ensure each resident's right to keep and use personal possessions, including (some) furnishings, and appropriate clothing, as space permits, unless to do so would infringe upon the rights or health and safety of other residents.

WAC 388-76-10615  Resident rights—Transfer and discharge. (1) The adult family home must allow each resident to stay in the home and not transfer or discharge the resident unless:
(a) The transfer or discharge is necessary for the resident's welfare and the resident's needs cannot be met in the home;
(b) The safety or health of individuals in the home is or would otherwise be endangered;
(c) The resident has failed to make the required payment for their stay; or
(d) The home ceases to operate.
(2) Before a home transfers or discharges a resident, the home must:
(a) First attempt through reasonable accommodations to avoid the transfer or discharge, unless agreed to by the resident;
(b) Notify the resident and representative and make a reasonable effort to notify, if known, an interested family member of the transfer or discharge and the reasons for the move in writing and in a language and manner they understand;
(c) Record the reasons in the resident's record; and
(d) Include in the notice the items described in subsection (5) of this section.
(3) Except as specified in (4) of this section, the home must give notice of the transfer or discharge at least thirty days before the resident is transferred or discharged.
(a) The home may make the notice as soon as practicable before transfer or discharge when:
   (A) The safety and health of the individuals in the home would be endangered;
   (B) An immediate transfer or discharge is required by the resident's urgent medical needs; or
   (C) A resident has not resided in the home for thirty days.
(5) The home must include the following in the written notice specified in subsection (2) of this section:
   (a) The reason for transfer or discharge;
   (b) The effective date of transfer or discharge;
   (c) The location where the resident is transferred or discharged;
   (d) The name, address, and telephone number of the state long-term care ombuds;
   (e) For residents with developmental disabilities, the mailing address and telephone number of the agency responsible for the protection and advocacy of developmentally disabled individuals; and
   (f) For residents who are mentally ill, the mailing address and telephone number of the agency responsible for the protection and advocacy of mentally ill individuals.

NEW SECTION

WAC 388-76-10616 Resident rights—Transfer and discharge notice.
(1) Before a home transfers or discharges a resident, the home must give the resident and the resident's representative a written thirty-day notification informing them of the transfer or discharge. The home must also make a reasonable effort to notify, if known, any interested family member. The written notification must be in a language and manner the resident understands and include the following:
   (a) The reason for transfer or discharge;
   (b) The effective date of transfer or discharge;
   (c) The location where the resident is transferred or discharged if known at the time of the thirty-day discharge notice;
   (d) The name, address, and telephone number of the state long-term care ombuds;
   (e) For residents with developmental disabilities, the mailing address and telephone number of the agency responsible for the protection and advocacy of individuals with a developmental disability; and
   (f) For residents with mental illness, the mailing address and telephone number of the agency responsible for the protection and advocacy of individuals with mental illness.
(2) The home may make the notice as soon as practicable before transfer or discharge when:
(a) The safety and health of the individuals in the home would be endangered;
(b) An immediate transfer or discharge is required by the resident's urgent medical needs; or
(c) The resident has been absent from the home for thirty or more days.
(3) A copy of the written notification must be in the resident's records.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10620 Resident rights—Quality of life—General. (1) The adult family home must promote care for residents in a manner and in an environment that maintains or enhances each resident's dignity and respect in full recognition of his or her individuality.
(2) ((Within reasonable)) The home may design home rules ((designed to)) that protect the rights and quality of life of residents((r)). Within these rules, the home must ensure the resident's right to:
   (a) Choose activities, schedules, and health care consistent with ((his or her)) the resident's interests, assessments, and negotiated care plan;
   (b) Be informed about community activities and ways to interact with members of the community both inside and outside the home;
   (c) Make choices about aspects of ((his or her)) life in the home that are significant to the resident;
   (d) Wear ((his or her)) the resident's own clothing and decide ((his or her)) their own dress, hair style, or other personal effects according to individual preference;
   (e) Unless adjudged incompetent or otherwise found to be legally incapacitated to:
      (i) Be informed in advance about recommended care and services and of any recommended changes in the care and services;
      (ii) Participate in planning care and treatment or changes in care and treatment;
      (iii) Direct ((his or her)) the resident's own service plan and changes in the service plan, or
      (iv) Refuse any particular service so long as such refusal is documented in the record of the resident.

AMENDATORY SECTION (Amending WSR 16-06-004, filed 2/17/16, effective 4/1/16)

WAC 388-76-10685 Bedrooms. The adult family home must meet all of the following requirements:
(1) Ensure each resident's bedroom is an outside room((, which)) that allows entrance of natural light((t)).
(2) Ensure window and door screens:
   (a) Do not hinder emergency escape; and
(b) Prevent entrance of flies and other insects.

(3) Ensure each resident, including those using mobility aids such as wheelchairs and walkers, has direct, unrestricted, and free access from the bedroom through doors, hallways, and corridors to common use areas and other rooms used for care and services including bathrooms.

(4) Make separate bedrooms available for each gender identity, unless roommates of different gender identities mutually consent to sharing a bedroom.

(5) Ensure that residents occupying a shared bedroom are notified in advance that they will have a roommate or that a roommate could be admitted at a later date.

(6) Make reasonable efforts to accommodate a resident's preference of roommate.

(7) Provide each bedroom with a minimum usable floor space as required in WAC 388-76-10690.

(8) Give each resident the opportunity to have a lock on their bedroom door if they choose to unless having a locked door would be unsafe for the resident and this is documented according to WAC 388-76-10401.

(9) Ensure each bedroom has a closet or a wardrobe, armoire, or reasonable storage space for clothes accessible to residents. Neither the closet nor wardrobe/armoire floor space will be considered a part of the room's usable square footage. The home must not remove a closet in order to provide additional floor space.

(10) Ensure there are no more than two residents to a bedroom.

(11) Unless the resident chooses to provide their own furniture and bedding, the home must provide each resident a bed thirty-six inches or wider with:

(a) A clean, comfortable mattress;
(b) A waterproof cover for use when needed or requested by the resident;
(c) Clean sheets and pillow cases;
(d) Adequate clean blankets to meet the needs of each resident; and
(e) Clean pillows.

(12) Do not use the upper bunk of double-deck beds for a resident's bed.

(13) Provide each resident a call bell or an alternative way of alerting staff in an emergency, that the resident can use, unless the (provider, entity representative, resident manager or caregiver) bedroom of an AFH staff member is within hearing distance of the resident's bedroom and the system is required by the department; and a staff member will be within hearing distance at all times.

(14) Ensure that members of the household, other than residents, and staff do not share bedrooms with residents.

(15) Ensure a resident does not share a bedroom with a person under eighteen years of age, unless the person is the resident's own child.
WAC 388-76-10715 Doors—Ability to open. The adult family home must ensure:

1. Every bedroom and bathroom door opens from the inside and outside;
2. Every closet door opens from the inside and outside;
3. At least one door leading to the outside is designated as an emergency exit. In homes licensed after January 1, 2016, this door must have a lever door handle on both sides and hardware that allows residents to exit when the door is locked and immediately reenter without a key, tool, or special knowledge or effort by residents; and
4. Other doors leading to the outside that are not designated as an emergency exit must open without any special skills or knowledge and they must remain accessible to residents unless doing so poses a risk to the health or safety of at least one resident; and
5. All internal and external doors comply with local jurisdictional requirements as well as the building code requirements in chapter 51-51 WAC.

WAC 388-76-10720 Electronic monitoring equipment—Audio monitoring and video monitoring. (1) Except as provided in this section or in WAC 388-76-10725, the adult family home must not use the following in the home or on the premises:

(a) Audio monitoring equipment; or
(b) Video monitoring equipment if it includes an audio component.

(2) The home may video monitor and video record activities in the home, without an audio component, only in the following areas:

(a) Entrances and exits if the cameras are:
   (i) Focused only on the entrance or exit doorways; and
   (ii) Not focused on areas where residents gather;
(b) Outdoor areas accessible to both residents and the public, such as, but not limited to, driveways or walkways, provided that the purpose of such monitoring is to prevent theft, property damage, or other crime on the premises;
(c) Outdoor areas not commonly used by residents; and
(d) Designated smoking areas, subject to the following conditions:
   (i) Residents are assessed as needing supervision for smoking;
   (ii) A staff person watches the video monitor at any time the area is used by such residents;
   (iii) The video camera must be clearly visible;
   (iv) The video monitor must not be viewable by the general public; and
3. The home must notify all residents in writing of the video monitoring equipment. The home must ensure that
the resident and home sign and date an acknowledgement in writing stating that the resident has received this notification, and the home must retain a signed copy of the acknowledgement.

(4) The presence of cameras must not alter the obligation of the home to provide appropriate in-person assistance and monitoring due to individual physical or cognitive limitations.

AMENDATORY SECTION (Amending WSR 09-03-029, filed 1/12/09, effective 2/12/09)

WAC 388-76-10725 Electronic monitoring equipment—Resident requested use. (1) ((The adult family home must not use)) Audio or video monitoring equipment ((to monitor any resident unless:
   (a) The resident has requested the monitoring; and
   (b) The monitoring is only used in the sleeping room of the resident who requested the monitoring,)) may not be installed in an adult family home to monitor any resident sleeping area unless the resident or the resident's representative has requested and consents to the monitoring;

(2) Electronic monitoring equipment must be installed in a manner that is safe for residents;

(3) An adult family home must not refuse to admit an individual, or discharge a resident, solely because of a request to conduct authorized electronic monitoring;

(4) A resident may limit consent for use of electronic monitoring devices to specific times or situation, pointing the camera in a particular direction, or prohibiting the use of certain devices;

(5) The release of audio or video monitoring recordings by the facility is prohibited, except to authorized persons or as otherwise required by law. Each person or organization with access to the electronic monitoring must be identified in the resident's negotiated care plan;

(6) If the resident requests that the home conduct audio or video monitoring of their sleeping area, before any electronic monitoring occurs the home must ensure:
   (a) That the electronic monitoring does not violate chapter 9.73 RCW;
   (b) (((The resident has identified a threat to the resident's health, safety or personal property;
       (c))))) The resident's roommate has provided written consent to electronic monitoring, if the resident has a roommate; and
   (d) (((c)) (c) The resident and the home have agreed upon a specific duration for the electronic monitoring and the agreement is documented in writing.

(((3)) (7) The home must:
   (a) Reevaluate the (((need for)) use of the electronic monitoring with the resident at least quarterly; and
   (b) Have each reevaluation in writing signed and dated by the resident.

((4))) (8) The home must immediately stop electronic monitoring if the:
   (a) Resident no longer wants electronic monitoring;
(b) Roommate objects or withdraws the consent to the electronic monitoring, or
(c) Resident becomes unable to give consent, unless consent has been provided by a resident's representative as described in this section.

((5)) (9) For the purposes of consenting to video electronic monitoring, without an audio component, the term "resident" includes the resident's ((decision maker)) representative.

((6)) (10) For the purposes of consenting to audio electronic monitoring, the term "resident" includes only:
(a) The resident residing in the home; or
(b) The resident's court-appointed guardian or attorney-in-fact who has obtained a court order specifically authorizing the court-appointed guardian or attorney-in-fact to consent to audio electronic monitoring of the resident.

((7)) (11) If the resident's decision maker consents to audio electronic monitoring as specified in subsection ((6) above) (10) of this section, the home must maintain a copy of the court order authorizing such consent in the resident's record.

(12) If the adult family home determines that a resident, resident's family, or other third party is electronically monitoring a resident's bedroom without complying with the requirements of this section, the home must disconnect or remove such equipment until the appropriate consent is obtained and notice given as required by this section.

(13) Nothing in this section prohibits or limits an adult family home from implementing electronic monitoring pursuant to a resident's negotiated care plan, including but not limited to motion sensor alerts, floor pressure sensors, or global positioning devices, where the monitoring does not entail the transmittal or recording of a human-viewable image, sound, or resident name.

AMENDATORY SECTION  (Amending WSR 10-03-064, filed 1/15/10, effective 2/15/10)

WAC 388-76-10750 Safety and maintenance.  The adult family home must:

(1) Keep the home both internally and externally in good repair and condition with a safe, comfortable, sanitary, and homelike environment that is free of hazards;
(2) Ensure that there is existing outdoor space that is safe and usable for residents;
(3) Provide clean, functioning, safe, adequate household items and furnishings to meet the needs of each resident;
(4) Ensure items and furnishings brought into the home by the resident for their use are clean, functioning, and safe;
(5) Provide safe and functioning systems for:
(a) Heating;
(b) Cooling, which may include air circulating fans;
(c) Hot and cold water;
(d) Electricity;
(e) Plumbing;
(f) Garbage disposal;
(g) Sewage;
Cooking; Laundry; Artificial and natural light; Ventilation; and Any other feature of the home.

Ensure water temperature is at least one hundred five degrees and does not exceed one hundred twenty degrees Fahrenheit at all fixtures used by or accessible to residents, such as:

- Tubs;
- Showers; and
- Sinks.

Provide storage for

Ensure all toxic substances and other hazardous materials that is only accessible to residents under direct supervision, unless the resident is assessed for and the negotiated care plan indicates it is safe for the resident to use the materials unsupervised; are kept in locked storage and in their original containers, and that only residents who have been assessed as safe to use them are granted access and may use them without direct supervision. This includes but is not limited to those with one or more of the following warning labels:

- Danger;
- Poison;
- Corrosive;
- May cause burns; or
- Vapors harmful.

Provide rapid access for all staff to any bedroom, toilet room, shower room, closet, other room occupied by each resident;

Keep all firearms locked and accessible only to authorized persons; and

Keep the home free from:

- Rodents;
- Flies;
- Cockroaches; and
- Other vermin.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10765 Storage. The adult family home must:

1. Supply each resident with adequate and reasonable storage space for:
   - Clothing;
   - Personal possessions; and
   - Upon request, a lockable container or storage space for small items, unless:
     - The resident has a single occupancy room with a lockable door; and
     - Only the resident and appropriate staff have a key to the door.

2. Provide locked storage for all prescribed and over-the-counter medications as per WAC 388-76-10485.)
WAC 388-76-10770 Telephones. The adult family home must (provide):

1. Have at least one working (nonpay) telephone in the home that does not cost residents money to use; and
2. Allow residents privacy and reasonable access to the telephone (and
3. Privacy for the resident when making or receiving) to make and receive calls.

WAC 388-76-10784 Water hazards—Fences, gates, and alarms. For any adult family home (newly) licensed after July 1, 2007 or any currently licensed adult family home that adds or modifies a new or existing water hazard after July 1, 2007 must ensure:

1. ((Comply with this section and)) Pools, spas, and hot tubs are installed according to the requirements of the IRC (a) as adopted by the Washington state building code council.
2. ((Enclose)) Water hazards over twenty-four inches deep (with)
   (a) Enclosed by fences and gates at least forty-eight inches high; (and)
   (b) Equipped with an audible (alarms) alarm that sounds when (doors, screens, and gates) any door, screen, or gate that directly (leads) leads to or (surround) surrounds the water hazard (are) is opened; and
   (c) Secured by locking any doors, screens, or gates that lead directly to or surround the water hazard.

WAC 388-76-10795 Windows. (1) The adult family home must ensure at least one window in each resident bedroom meets the following requirements:

(a) The sill height (of the bedroom window is) must not be more than forty-four inches above the finished floor.
((2)) For homes licensed after July 1, 2007, the department will not approve alternatives to the sill height requirement such as step(s), raised platform(s), or other devices placed by or under the window openings.
((3)) (b) The (bedroom window must have the following:}
(a) A minimum opening area must be a minimum of 5.7 square feet, except (a) that the openings of windows in rooms at grade level (floor window openings) as defined by the International Residential Code may have a minimum clear opening of 5.0 square feet. The window must also have:

((b)) (1) A minimum opening height of twenty-four inches; and
((c)) (2) A minimum opening width of twenty inches; and
((d)) (c) The home must ensure the bedroom window can be opened from inside the room without keys, tools, or special knowledge or effort to open.
((e)) (d) The window must be free from obstructions that might block or interfere with access for emergency escape or rescue.

(2) When resident bedroom windows are fitted with storm windows, the home must equip the storm windows with release mechanisms that:
(a) Easily open from the inside; and
(b) Do not require a key or special knowledge or effort to open.
((f)) (3) The home must ensure that each basement (and each resident bedroom) window (that meets the requirements of subsection (1), (2) and (3) of this section, are) is kept free from obstructions that might block or interfere with access for emergency escape or rescue.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10800 Adult family home located outside of public fire protection. (1) If the adult family home is located in an area without public fire protection, the home must have verification of adequate fire protection from the fire authority.
(2) If the local fire authority requires the home to have additional protective measures such as a fire extinguisher with a rating other than that required under WAC 388-76-10810(1), the home must meet this requirement.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10805 Automatic smoke (detectors) alarms. (1) The adult family home must ensure approved automatic smoke (detectors) alarms are installed and maintained according to manufacturer instructions;
((1) Installed)) (2) At a minimum, smoke detectors must be located in the following (locations) areas:
(a) Every resident bedroom (used by a resident);
(b) In (proximity to the area where the) the immediate vicinity of resident (sleep)) bedroom(s), and if applicable, the sleeping areas used by the adult family home staff (sleeps); and
(c) On every level of a multilevel home.
((2) Installed in a manner so that)) (3) The home must ensure the (fire warning is heard) smoke alarms in all parts of the home
are active and interconnected in such a manner that the activation of one alarm will activate them all.

(4) Each smoke alarm must be kept in working condition at all times.

AMENDATORY SECTION (Amending WSR 08-09-028, filed 4/8/08, effective 5/9/08)

WAC 388-76-10810 Fire extinguishers. (1) The adult family home must have an approved five pound 2A:10B-C rated fire extinguisher on each floor of the home.

(2) The home must ensure fire extinguishers are:

(a) (Installed according to manufacturer recommendations) Mounted or securely fastened in a stationary position at a minimum of four inches from the floor and a maximum of sixty inches from the floor;

(b) Inspected and serviced annually;

(c) In proper working order;

(d) (Readily available for use) Accessible at all times.

(3) If required by the local fire authority, the home must provide different fire extinguishers in place of the fire extinguishers required in subsection (1) of this section; and

(e) Not located behind a locked door.

AMENDATORY SECTION (Amending WSR 16-20-095, filed 10/4/16, effective 11/4/16)

WAC 388-76-10825 Space heaters, fireplaces, and stoves. (1) The adult family home must not use oil, gas, kerosene, or electric space heaters that have not been certified by an organization listed as a nationally recognized testing laboratory.

(2) The adult family home must ensure that stoves and heaters do not block resident, staff, or household member escape routes.

(3) The adult family home must ensure that fireplaces, stoves, or heaters that get hot to the touch when in use have a stable, flame-resistant barrier that prevents accidental resident contact. The adult family home is does not (required to have a barrier if the fireplace and stove surfaces are not) get hot to the touch (when in use) and that prevents accidental contact by residents or any flammable materials.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10830 Emergency and disaster plan—Required. The adult family home must have a written emergency and disaster plan
to meet the needs of each resident during emergencies and disasters. The plan must include:

1. Responding to natural and man-made emergencies and disasters that may reasonably occur at the home;
2. Actions to be taken by staff and residents during and after an emergency or disaster; and
3. The fire drill plan for evacuation of the home.

**AMENDATORY SECTION** (Amending WSR 10-03-064, filed 1/15/10, effective 2/15/10)

**WAC 388-76-10840 Emergency food supply.** (1) The adult family home must have an on-site emergency food supply (that can be stored with other food in the home and) that:
   
   (a) Will last for a minimum of seventy-two hours for each resident and each household member;
   
   (b) Meets the dietary needs of each resident, including any specific dietary restrictions (any resident) they may have;
   
   (c) Can be stored with other food in the home; and
   
   (d) Is sufficient, safe, sanitary, and uncontaminated.

**AMENDATORY SECTION** (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

**WAC 388-76-10850 Emergency medical supplies.** The adult family home must (have emergency medical supplies that include):

1. (First-aid) Have emergency medical supplies on-hand for the application of basic first aid during an emergency or disaster in a sufficient amount for the number of residents living in the home;

2. Replenish the emergency medical supplies as they are used; and

3. Have a first aid manual.

**AMENDATORY SECTION** (Amending WSR 16-20-095, filed 10/4/16, effective 11/4/16)

**WAC 388-76-10865 Resident evacuation from adult family home.**

1. The adult family home must be able to evacuate all residents from the home to a safe location outside the home in five minutes or less.

2. The home must ensure that residents (who require assistance) are able to evacuate the home as follows:
   
   (a) Through (the primary egress) a door designated as an emergency exit;

   (b) Via a path from the resident's bedroom that does not go through other bedrooms; and

   (c) Without the resident having to use any of the following:
      
      (i) Stairs;

      (iii) Elevators;
Chairlifts; or Platform lifts.

(3) Residents who require assistance with evacuation must have a path via an emergency exit to the designated safe location that does not require the use of stairs.

(4) Ramps for residents to enter, exit, or evacuate on homes licensed after November 1, 2016 must:
   (a) Comply with WAC 51-51-0325;
   (b) Have a slope measuring no greater than eight and three-tenths percent in the direction of travel; and
   (c) Have required landings at the top, bottom, and at any change of direction, with a slope measuring no greater than two percent in the direction of travel.

(5) Homes that serve residents who are not able to hear the fire alarm warning must install visual fire alarms.

AMENDATORY SECTION (Amending WSR 10-03-064, filed 1/15/10, effective 2/15/10)

WAC 388-76-10870 Resident evacuation capability levels—Identification required. The adult family home must ensure that each resident's assessment, preliminary service plan, and negotiated care plan identifies, and each resident's preliminary care plan and negotiated care plan) and describes the resident's ability to evacuate the home according to the following descriptions:

(1) Independent: Resident is physically and mentally capable of independently evacuate the home without the assistance of another individual or the use of mobility aids. The department will consider a resident independent if capable of getting out of the home after one verbal cue.

(2) Assistance required: Resident is not physically or mentally capable of evacuating the home without assistance from another individual, mobility aids, or multiple cues.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10885 Elements of emergency evacuation floor plan. The adult family home must ensure there is an emergency evacuation floor plan for each level of the home that:

(1) Is accurate and includes all rooms, hallways, and exits (such as doorways and windows) to the outside of the home;

(2) Illustrates the emergency evacuation routes to exit the home, with the route to the emergency exit door being easily identifiable; and

(3) Identifies the designated safe location for the residents to meet outside the home.
WAC 388-76-10890 Posting the emergency evacuation floor plan—Required. The adult family home must display an emergency evacuation floor plan on each floor of the home and the plan must:
(1) Be posted in a visible location commonly used by residents, staff, and visitors alike; and
(2) Illustrate the evacuation route from the rooms on that floor to the designated safe location outside the home.

WAC 388-76-10895 Emergency evacuation drills—Frequency and participation. (1) There are two types of emergency evacuation drills:
(a) A full evacuation is evacuation from the home to the designated safe location; and
(b) A partial evacuation is evacuation to the designated emergency exit.
(2) The adult family home must ensure:
(a) Partial emergency evacuation drills occur during random staffing shifts at least every sixty days, with each resident participating in at least one each calendar year; and
(b) All residents take part in a full emergency evacuation drill together and at the same time at least once each calendar year; and
(c) Staff practice emergency evacuation drills even if there are no residents living in the home.
(3) The home must respect the resident's right to refuse to participate in emergency evacuation drills. However, the home must still demonstrate the ability to safely evacuate all residents doing the following:
(a) Documenting the resident's wish to refuse to participate in the negotiated care plan;
(b) Providing an estimate of the amount of time it would take to evacuate the resident and how they calculated this estimate in the negotiated care plan;
(c) Adding the estimated time to the time recorded on the emergency evacuation drill log after each drill to ensure the length of time to evacuate does not exceed five minutes; and
(d) Continuing to offer the resident a chance to participate in every evacuation drill.

[ 22 ] SHS-4779.3
AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10900 Documentation of emergency evacuation drills—Required. The adult family home must document ((in writing)) the following for all emergency evacuation drills ((which must include)): (1) Names of each resident and staff involved in the drill; (2) Name of the person conducting the drill; (3) Date and time of the drill; (4) Whether the drill was a full or partial emergency evacuation; and (5) The length of time it took to ((evacuate all residents)) complete the evacuation.

AMENDATORY SECTION (Amending WSR 07-21-080, filed 10/16/07, effective 1/1/08)

WAC 388-76-10905 Emergency evacuation—Notification of department required. The adult family home must ((immediately call)) notify the department's complaint ((toll free complaint telephone number of)) resolution unit as soon as possible after resident safety is secure when: (1) The home is on emergent stand-by for evacuation; (2) There is any fire; or (3) Residents were evacuated from the home.

REPEALER

The following sections of the Washington Administrative Code are repealed:

WAC 388-76-10520 Resident rights—General notice.
WAC 388-76-10555 Resident rights—Financial affairs.
WAC 388-76-10565 Resident rights—Adult family home system for management of resident financial affairs.
WAC 388-76-10835 Elements of an emergency and disaster plan.